

We fix k a field. Everything is over k . All stacks are ∞ -stacks. Our goal is to define relative dimension, equi-dimensionality and semi-small-ness for morphisms between infinite-dimensional spaces.

1. FINITE TYPE SITUATION

Definition 1. Let X be a stack over k . Let $[X]$ be the set of points on X (equivalence classes(?) of morphisms from $\text{Spec}(K)$, K/k any extension). We'll sometimes abbreviate $[X]$ to X if there is no danger of confusion.

Definition 2. Let X be an algebraic space of finite type. Denote by $\dim_x(X)$ the maximum of the dimensions of the irreducible components of X containing x .

Definition 3. Let $f : X \rightarrow Y$ be a morphism of algebraic spaces of finite type. We define $\underline{\dim}_f : X \rightarrow \mathbb{Z}$ by $\underline{\dim}_f(x) := \dim_x(X) - \dim_{f(x)}(Y)$. We say

- (1) f is **weakly equi-dimensional** if $\underline{\dim}_f$ is locally constant.
- (2) f is **equi-dimensional** if f is weakly equi-dimensional, and $\underline{\dim}_f(x) = \dim_x(f^{-1}(f(x)))$ for every $x \in X$.
- (3) f is **universally open equi-dimensional (uoad)** if f is universally open and weakly equi-dimensional.

Lemma 4. Let $f : X \rightarrow Y$ be an open morphism of algebraic spaces of finite type. Then $\underline{\dim}_f(x) = \dim_x(f^{-1}(f(x)))$. In particular, uoad morphisms of algebraic spaces of finite type are equi-dimensional.

Corollary 5. Suppose we have a Cartesian square of algebraic spaces of finite type:

$$\begin{array}{ccc} X' & \xrightarrow{g} & Y' \\ \downarrow \psi & \lrcorner & \downarrow \phi \\ X & \xrightarrow{f} & Y \end{array}$$

such that either f or ϕ is universally open (for example, when either is flat). Then $\underline{\dim}_g = \psi^* \underline{\dim}_f$.

Proof. Let $x' \in X'$ and $x = \psi(x') \in X$. We have to show that $\underline{\dim}_g(x') = \underline{\dim}_f(x)$. Let $y' = g(x')$ and $y = f(x) = \phi(y')$. We have to show that

$$(1) \quad \dim_{x'}(X') + \dim_y(Y) = \dim_x(X) + \dim_{y'}(Y').$$

Suppose ϕ is universally open; in particular ψ is also open. Lemma 4 gives $\dim_{y'}(Y') - \dim_y(Y) = \dim_{y'}(\phi^{-1}(\phi(y')))$, and likewise $\dim_{x'}(X') - \dim_x(X) = \dim_{x'}(\psi^{-1}(\psi(x')))$. Since $\psi^{-1}(\psi(x')) \cong \phi^{-1}(\phi(y'))$, we have equality (1). The case when f is universally open is identical. \square

Corollary 6. The class of uoad morphism (for algebraic spaces of finite type) is closed under compositions and base change.

2. lfpr MORPHISMS

Definition 7. Recall that

- (1) A ring homomorphism $\rho : A \rightarrow B$ is **of finite presentation (fp)** if ρ is isomorphic to $A \rightarrow A[x_1, \dots, x_n](f_1, \dots, f_n)$ for some $f_1, \dots, f_n \in A[x_1, \dots, x_n]$.

- (2) A morphism $f : X \rightarrow Y$ of schemes is **locally fp** if it is locally (on X) of the above form.
- (3) A morphism $f : X \rightarrow Y$ of algebraic spaces is **locally fp** if we have a commutative diagram

$$\begin{array}{ccc} X' & \xrightarrow{f'} & Y' \\ \downarrow & & \downarrow \\ X & \xrightarrow{f} & Y \end{array}$$

where the vertical maps are étale covers and $f' : X' \rightarrow Y'$ is a locally fp morphism of schemes.

- (4) A morphism $f : \mathcal{X} \rightarrow \mathcal{Y}$ of stacks is **locally fp-representable (lfpr)** if for every morphism $Y \rightarrow \mathcal{Y}$ from an affine scheme Y , we have that $\mathcal{X} \times_{\mathcal{Y}} Y$ is an algebraic space and $\mathcal{X} \times_{\mathcal{Y}} Y \rightarrow Y$ is a locally fp morphism of algebraic spaces.

Definition 8. Let $f : X \rightarrow Y$ be a locally fp morphism of 0-placid schemes. Consider a Cartesian square

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \downarrow p_X & \lrcorner & \downarrow p_Y \\ X_\alpha & \xrightarrow{f_\alpha} & Y_\alpha \end{array}$$

where X_α and Y_α are affine schemes of finite types, and that p_X and p_Y are strongly pro-smooth. Then we define $\underline{\dim}_f := p_X^* \underline{\dim}_{f_\alpha}$.

Lemma 9. Definition 8 is well-defined.

Proof. Any two such diagrams are dominated by a third one, so we have to prove that when we have a Cartesian square of affine schemes of finite types:

$$\begin{array}{ccc} X_\gamma & \xrightarrow{f_\gamma} & Y_\gamma \\ \downarrow p_X & \lrcorner & \downarrow p_Y \\ X_\alpha & \xrightarrow{f_\alpha} & Y_\alpha \end{array}$$

where p_X and p_Y are smooth, we have that $\underline{\dim}_{f_\gamma} = p_X^*(\underline{\dim}_{f_\alpha})$. This follows from Corollary 5. \square

Definition 10. Let $f : \mathcal{X} \rightarrow \mathcal{Y}$ be a lfpr morphism of placid stacks. We define $\underline{\dim}_f : [\mathcal{X}] \rightarrow \mathbb{Z}$ to be the function such that $\psi^*(\underline{\dim}_f) = \underline{\dim}_g$ for every commutative square

$$\begin{array}{ccc} X & \xrightarrow{g} & Y \\ \downarrow \psi & & \downarrow \phi \\ \mathcal{X} & \xrightarrow{f} & \mathcal{Y} \end{array}$$

where X and Y are 0-placid affine schemes, ϕ is (n -)smooth, and that $X \rightarrow \mathcal{X} \times_{\mathcal{Y}} Y$ is étale.

Lemma 11. Definition 10 is well-defined.

3. GENERAL MORPHISMS

Definition 12. (i) Let $f : X \rightarrow Y$ be a morphism of 0-placid schemes. We say f is **weakly equi-dimensional** (resp. **equi-dimensional**, resp. **universally open**, resp. **uod**) if, for every strongly pro-smooth $Y \rightarrow Y'$ where Y' is an affine scheme of finite type, there exists a commutative diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \downarrow & & \downarrow \\ X' & \xrightarrow{f'} & Y' \end{array}$$

where $X \rightarrow X'$ is also strongly pro-smooth, X' is also an affine scheme of finite type, and f' is weakly equi-dimensional (resp. equi-dimensional, resp. universally open, resp. uod).

(ii) Let $f : \mathcal{X} \rightarrow \mathcal{Y}$ be a morphism of placid stacks, not necessarily lfpr. We say f is **weakly equi-dimensional** (resp. **equi-dimensional**, resp. **pro-universally open**, resp. **uod**) if, for any 0-placid schemes X and Y and (n -)smooth morphisms $Y \rightarrow \mathcal{Y}$ and $X \rightarrow \mathcal{X} \times_{\mathcal{Y}} Y$, we have that $X \rightarrow \mathcal{X} \times_{\mathcal{Y}} Y \rightarrow Y$ is weakly equi-dimensional (resp. equi-dimensional, resp. universally open, resp. uod) as in (i).

Lemma 13. If $f : X \rightarrow Y$ is morphism of 0-placid schemes, then the definitions in (i) and (ii) are equivalent. Also, if $f : \mathcal{X} \rightarrow \mathcal{Y}$ is an lfpr morphism, then the definitions are equivalent to the naive ones as in Definition 3.

Example 14. Let G be a connected reductive group and $\mathfrak{c} := \mathfrak{g}/G$. We'll see (in §3 of the paper) that $L^+(\mathfrak{g}) \rightarrow L^+(\mathfrak{c})$ is flat, and thus universally open. It is not lfpr unless G is a torus. Moreover, we will have fp-locally closed $\mathfrak{c}_{w,r} \subset L^+(\mathfrak{c})$ and its pre-image $\mathfrak{g}_{w,r} \subset L^+(\mathfrak{g})$ such that $\mathfrak{g}_{w,r} \rightarrow \mathfrak{c}_{w,r}$ is uod.

Corollary 15. uod morphisms are equi-dimensional.

Lemma 16. Suppose we have a Cartesian square of placid stacks

$$\begin{array}{ccc} X' & \xrightarrow{g} & Y' \\ \downarrow \psi & \lrcorner & \downarrow \phi \\ X & \xrightarrow{f} & Y \end{array}$$

such that f is lfpr and ϕ is pro-universally open. Then $\underline{\dim}_g = \psi^* \underline{\dim}_f$.

Definition 17. A morphism $f : \mathcal{X} \rightarrow \mathcal{Y}$ of stacks is an fp-open/fp-closed/fp-locally closed embedding if, for every morphism $Y \rightarrow \mathcal{Y}$ from an affine scheme Y , we have that $\mathcal{X} \times_{\mathcal{Y}} Y$ is a scheme and $\mathcal{X} \times_{\mathcal{Y}} Y \rightarrow Y$ is fp-open/fp-closed/fp-locally closed. If \mathcal{X} and \mathcal{Y} are placid, then we say f has codimension d if $\underline{\dim}_f = -d$.

Definition 18. Let \mathcal{X} be a stack, and let $\{X_\alpha\}_{\alpha \in \mathcal{I}}$ be a collection of fp-locally closed reduced substacks of \mathcal{X} . We say $\{X_\alpha\}$ is a

- (1) **finite constructible stratification** of \mathcal{X} , if there is an increasing sequence $\emptyset = \mathcal{X}_0 \subset \mathcal{X}_1 \subset \dots \subset \mathcal{X}_n = \mathcal{X}$ and a bijection

$$\begin{array}{ccc} \{1, \dots, n\} & \longleftrightarrow & \mathcal{I} \\ i & \mapsto & \alpha_i \end{array}$$

such that $\mathcal{X}_{\alpha_i} \cong (\mathcal{X}_i \setminus \mathcal{X}_{i-1})_{\text{red}}$.

- (2) A **bounded constructible stratification** of \mathcal{X} if we have a filtered colimit

$$\mathcal{X} = \text{colim } \mathcal{X}_U$$

by fp-open substacks such that

- (a) For each $\alpha \in \mathcal{I}$, either $\mathcal{X}_\alpha \subset \mathcal{X}_U$ or $\mathcal{X}_\alpha \cap \mathcal{X}_U = \emptyset$. Write $I_U := \{\alpha \in \mathcal{I} \mid \mathcal{X}_\alpha \subset \mathcal{X}_U\}$.

- (b) $\{X_\alpha\}_{I_U}$ forms a finite constructible stratification.

- (3) A **constructible stratification** of \mathcal{X} if we have a filtered colimit

$$\mathcal{X} = \text{colim } \mathcal{X}_\lambda$$

by fp-closed substacks such that

- (a) For each $\alpha \in \mathcal{I}$, either $\mathcal{X}_\alpha \subset \mathcal{X}_\lambda$ or $\mathcal{X}_\alpha \cap \mathcal{X}_\lambda = \emptyset$.

- (b) $\{X_\alpha\}_{I_\lambda}$ forms a bounded constructible stratification.

Definition 19. Let $f : \mathcal{X} \rightarrow \mathcal{Y}$ be a morphism of placid stacks. Let $\{\mathcal{Y}_\alpha\}$ be a constructible stratification of \mathcal{Y} . Let $\mathcal{X}_\alpha = f^{-1}(\mathcal{Y}_\alpha)_{\text{red}}$, and $f_\alpha : \mathcal{X}_\alpha \rightarrow \mathcal{Y}_\alpha$ the restriction. Suppose for each α we have

- (1) X_α is of codimension b_α for some b_α ,
(2) f_α is lfpr and $\underline{\dim}_{f_\alpha} \equiv \delta_\alpha$ for some δ_α .

Then we say f is **semi-small** if $\delta_\alpha \leq b_\alpha$ of every α . In this case, a stratum \mathcal{Y}_α is called **f-relevant** if $\delta_\alpha = b_\alpha$.

Lemma 20. Let $f : X \rightarrow Y$ be a morphism of algebraic spaces of finite type, for which any fiber is equi-dimensional. Then it has a finite constructible stratification with respect to which f is semi-small iff f is semi-small in the classical sense.

Remark 21. In case it is unclear, the goal is to prove that the affine Springer map is semi-small, and then prove that the semi-small property implies that the !-push-forward of the dualizing sheaf is perverse (though not necessarily semisimple).