Enzymatic and Chemical Approaches for the Synthesis of Sialyl Glycoconjugates¹

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Due to their important role in the chemical and biological diversity of glycoconjugates, sialic acids mainly exist as terminal components of cell surface glycoproteins and glycolipids These biomolecules are highly glycosylated by complex carbohydrate chains terminated (1).by one or several sialic acid residues. Many significant biological events are associated with sialyl glycoconjugates. For instance, changes in either amount, type or linkage of sialic acids in tumor cell glycoconjugates can affect tumor growth and metastasis (2,3). Selectins, cell adhesion molecules implicated in the recruitment of leukocytes to lymphoid tissues and to sites of inflammation, require ligands possessing $\alpha 2,3$ -sialic acids for proper recognition (4). The B cell-specific differentiation antigen CD₂₂, which is involved in cell activation, binds to cellular lactosamine sequences containing $\alpha 2,6$ -sialic acids (5,6). Furthermore, striking differences have been found in the sialylation pattern of cells during development, activation, aging and oncogenesis (1). Consequently, tremendous efforts have been dedicated to understand the chemical and biological significance of sialic acid containing glycoconjugates, as well as to study their structures, metabolism and immunological activities. Since natural carbohydrates exist as diverse forms, it still remains a difficult challenge to obtain identical molecules in a reasonable amount for further investigations. Synthetic methods provide an efficient approach for the synthesis of sialylated glycoforms in terms of quantity and molecular variety.

Of all the reactions of glycosidic bond formation, addition of sialic acids is often considered to be the most laborious task due to problems of low reactivity, yield, and stereoselectivity (7,8). Here we present a review how the glycosidic linkages of sialic acids are generated by enzymatic and chemical methods.

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I. Enzymatic Approaches

Enzymatic methods to incorporate sialic acid residues into glycoconjugates require the utilization of cytidine-5'-monophosphate-*N*-acetylneuraminic acid (CMP-sialic acid or CMP-NeuAc) synthetase and a specific sialyltransferase (Figure 1). The former protein assists in the activation of sialic acid and catalyzes the reaction of sialic acid and cytidine-5'-triphosphate. The latter transfers the sialic acid moiety to the non-reducing end of an acceptor carbohydrate. Different types of sialyltransferases are required depending on various acceptors and glycosidic linkages of sialic acid. Therefore, how to obtain both enzymes is a prerequisite to execute the enzymatic sialylation.



Figure 1. Reactions of CMP-NeuAc synthetase and sialyltransferase.

Although CMP-NeuAc is commercially available, its high cost (9) makes it advisable to use an efficient method of preparation. Several chemical syntheses of CMP-NeuAc have been reported (10-12). The number of reaction steps and tedious protection/deprotection procedures reflect the advantage of using CMP-NeuAc synthetase as a one-step enzymatic approach.

CMP-NeuAc synthetase, isolated from several mammalian tissues, has been used in the synthesis of CMP-NeuAc (13). The enzyme from *E. coli* was sequenced and cloned by Vann *et al.* (14-16) and further overexpressed by Shames *et al.* for large scale synthesis (17). Wong's group improved the overexpression and investigated the substrate specificity (18). The results indicated that CMP-NeuAc synthetase can tolerate the modification at the C-9 position of sialic acid without affecting the K_m value (18-21). Furthermore, sialic acid analogs, such as 9-deoxy, 7,9-dideoxy and 4,7,9-trideoxy-sialic acids were all accepted by the enzyme and converted to the corresponding CMP-NeuAc derivatives (22). However, neither the oxidation of hydroxyl group to a ketone introduced at the C-4, 7, or 8 position, nor their respective dimethylacetals were recognized as substrates by CMP-NeuAc synthetase (23).

Several $\alpha 2,6$ - and $\alpha 2,3$ -sialyltransferases have been used for carbohydrate

synthesis (24-26). These enzymes generally transfer *N*-acetylneuraminic acid to either the 6or 3-position of terminal Gal or GalNAc residues. The $\alpha 2,8$ -sialyltransferase exhibits specificity for the synthesis of $\alpha 2,8$ -linked polysialic acids (27). Nearly twenty different sialyltransferases are known to synthesize all known sialyl-oligosaccharide structures (28). Since these enzymes share the same sugar donor (CMP-NeuAc) and recognize similar acceptor substrates, it was expected that they would exhibit some sequence homology. Surprisingly, there are no significant amino acid sequence similarities among the cloned sialyltransferases, with the exception of two short consensus sequences called the sialyl motifs (29,30). Several sialyltransferases have been successfully cloned on the basis of these sialyl motifs and PCR cloning strategy (31). However, these enzymes are limited to carbohydrate synthesis because they are membrane bound proteins and mainly expressed in specific mammalian cell lines (31) in insufficient amount (in terms of synthetic purpose). Since enzymatic processes are useful and convenient to provide sialyl glycoconjugates to meet research need in glycobiology, both expressed and isolated sialyltransferases have been used to perform enzymatic sialylation. We describe some representative examples below.

The pioneering work on sialyltransferases, including isolation, characterization, cloning and synthetic application, is due to Paulson *et al*. As early as in the middle of 1980's, his group reported the enzymatic synthesis of sialylated carbohydrates by using three purified mammalian sialyltransferases which accepted type 1 (Gal- β 1,3-GlcNAc), type 2 (Gal- β 1,4-GlcNAc), or type 3 (Gal- β 1,3-GalNAc) oligosaccharides as substrates (24). In addition, linear and branched glycopeptides with multiple sialyl-*N*-acetyl lactosamine side chains (Scheme 1) were prepared using a combined chemical and enzymatic approach (32). After solid phase synthesis to incorporate β -GlcNAc-Asn and enzymatic galactosylation to add galactose, CMP-sialic acid and α 2,6-sialyltransferase were employed to form the desired α 2,6-sialyl linkage, as shown in Scheme 1 (32). Calf alkaline phosphatase destroyed the inhibiting side product CMP (32).



Scheme 1. Paulson's synthsis of sialyl glycopeptides using α 2,6-sialytransferase

The tetrasaccharide sialyl Lewis x (SLe^x) is the carbohydrate epitope at the terminus of glycolipids displayed on the surface of neutrophils (33). SLe^x has been shown to be the

ligand recognized by E-selectins, which are expressed on the surface of endothelial cells during inflammation (33, 34). The cell adhesion process of neutrophils and endothelial cells occurs through the interaction of E-selectin and SLe^x (34). The SLe^x synthesis on a large scale (kg quantities) was carried out with glycosyltransferases by Wong *et al.* and was indeed a milestone in enzymatic catalysis (35). Scheme 2 shows the consecutive glycosylation steps using galactosyl-, sialyl-, fucosyl-transferases and the corresponding sugar nucleotides.

Furthermore, multivalent SLe^x can be prepared in an efficient way based on the enzymatic remodeling of naturally existing biomolecules (36,37). For example, biantennary α 2,6-sialyl *N*-acetyllactosamine has been obtained after complete delipidation and digestion of proteases (36), as shown in Scheme 3. The subsequent treatment with neuraminidase and α 2,3-sialyltransferase resulted in the desired α 2,3-sialyl linkage. The succeeding fucosylation with α 1,3-fucosyltransferase gave the divalent SLe^x containing thirteen sugar units (36).



Scheme 2. Enzymatic synthesis of sialyl Lewis x developed by Wong et al.



Scheme 3. Enzymatic synthsis of dimeric SLe^x glycopeptide

In addition of playing an important role in cell-cell recognition, sialic acid acts as molecular a mask or marker. The release of sialic acids from sialo-glycoproteins of the erythrocyte membrane causes exposure of the Thomsen-Friedenreich (TF) antigen (Gal β 1,3-GalNAc α 1-OThr)(38). Such consequence results in destruction of the red cell membrane followed by cell lysis (38). The sialylated TF antigen was recently synthesized by Thiem *et al* using a combination of β -galactosidase from bovine testes and α 2,3-sialyltransferase from porcine liver (Scheme 4)(39). The former enzyme catalyzs the galactosylation in which *p*-nitrophenyl β -galactopyranoside (pNP β Gal) functions as a donor. Despite the possibility of the reverse hydrolysis of the disaccharide intermediate, this reaction could be blocked by further sialylation leading to the final trisaccharide product.



Scheme 4. Enzymatic synthesis of the Thomsen-Friedenreich antigen

The reverse reaction of glycosidases can be considered as an alternative method of enzymatic glycosylation (40) because most glycosidases, unlike glycosyltransferases, are prevalently abundant in nature. This strategy has been introduced to the enzymatic sialylation. Thiem's group utilized *p*-nitrophenyl α -*N*-acetylneuraminic acid as the sialyl donor and neuraminidase from *Vibrio cholerae* to study trans-sialylation (Scheme 5)(41). Though various unusual di- and tri-saccharides can be prepared in this way and the rate of conversion can be measured based on the release of *p*-nitrophenol, an unsatisfactory low yield (14 to 20%) remained to be improved. Moreover, a mixture of α 2,3- and α 2,6-isomers was obtained even in the excessive presence of sialyl acceptor (41).



Scheme 5. Enzymatic sialylation using neuraminidase

Judging from the previous examples, silayltransferases are extremely powerful in terms of exclusive stereoselectivity and high efficiency (without protection and deprotection steps required in chemical synthesis). The enzyme reactions are, nevertheless, restricted to analytical- and small-scale synthesis due to the high cost of CMP-sialic acid (or CTP if CMP-NeuAc synthetase is used). The reactions also suffer from product inhibition caused by the released CMP. The regeneration of CMP-NeuAc *in situ* from CMP developed by Wong *et al.* is a simple solution to solve these two obstacles (42, 43). As shown in Scheme

6, nucleoside monophosphate kinase and pyruvate kinase are able to catalyze the transformation of CMP into CTP. In the regeneration system, the ultimate donor of phosphorylation comes from phospho(enol)pyruvate. As a matter of fact, Thiem's enzymatic synthesis of TF antigen was coupled with such a cofactor regeneration (39). Several advantages are offered in the *in situ* cofactor regeneration. First, the operating cost is reduced substantially since CMP-NeuAc or CTP is required in a catalytic amount. Second, product inhibition of CMP is minimized because of its low concentration. Finally, purification of the enzyme product is greatly improved without interfering CMP.



Scheme 6. In situ cofactor regeneration used in enzymatic sialylation

II. Chemical Approaches

Before the mid 80's, most chemical sialylations were carried out based on the Koenigs-Knorr reaction using the per-acetylated 2-halo-NeuAc **1** as the glycosyl donor. Unfortunately, for the sialylation occurring at the site of hindered alcohols (7), low α -selectivity and low yield were often observed while the elimination product **2** occurred as the major product. Recently, several new methods have been developed to obtain α -sialoglycosides as major isomers in high yields by the use of different sialyl donors, such as the 2-thioglycosides of sialic acid **3**, NeuAc phosphites **4**, S-glycosyl xanthates of NeuAc **5**, and 3-substituted NeuAc derivatives **6** (Figure 2). In the following, we discuss the sialylation reactions on the basis of glycosyl donors.



Figure 2. Various sialic acid donors

The first synthesis of thioglycosides was reported by Pivalova (44), and further investigated by Hasegawa *et al* (45-48). α -Glycosides were obtained predominantly in high yields using the anomeric mixture of either methyl (3a) or phenyl (3b) 2-thioglycoside as the sialyl donor. DMTST (dimethyl(methylthio)sulfonium triflate) and or NIS (*N*-iodosuccinimide)-T_fOH (*p*-trifluoromethanesulfonic acid) as the promoter. As shown in Figure 3 and Table 1 (45-50), various sugar acceptors were studied in acetonitrile at -40 °C. It should be noted that the secondary hydroxyls were sialylated to give exclusively the α -configuration (40-50%) in the presence of DMTST, while an anomeric mixture was obtained for the primary hydroxyls.

Entry	Donor	Acceptor	Promoter	Yield α	(%) β	Reference
1	3a	7	DMTST	27	23	45
2	3a	8	DMTST	36	12	45
3	3a	9	DMTST	61	0	46
4	3a	10	DMTST	70	0	46
5	3a	11	DMTST	50	15	46
6	3a	12	NIS/T _f OH	70	0	47
7	3a	13	NIS/T _f OH	70	0	47
8	3a	14	DMTST	71	20	48
9	3a	15	DMTST	49	0	48
10	3a	16	DMTST	63	24	48
11	3a	17	DMTST	46	0	48
12	3a	18	NIS/T _f OH	59	10	46
13	3a	19	DMTST	40	0	47
14	3a	20	NIS/T _f OH	40	0	47
15	3b	21	NIS/T _f OH	65	0	49
16	3c	9	NIS/T _f OH	72	0	50
17	3c	21	NIS/T _f OH	71	0	50
18	3c	22	NIS/T _f OH	85	0	50

Table1. Glycosylation using the 2-thiolglycosides of NeuAc

Moreover, under the condition of NIS/TfOH in acetonitrile, the reaction yield increased, but undesired β -glycosides were then obtained in some examples. Reducing the number of protecting groups in the acceptors improved yield and stereoselectivity. Interestingly, acceptors **10** and **11** containing different protecting groups at C-3 resulted in different α -selectivity at the C-6 glycosylation site (entries 4 and 5). There are no systematic studies about the difference in activity between sially donors **3a** and **3b** (49). Recently, it was discovered by Boons *et al.* that the 5-*N*-diacetyl neuraminic acid derivative **3c** is significantly more reactive and does give higher yields in siallylation than the corresponding mono-*N*-acetylated derivative **3a** (entries 16-18) (50).



Figure 3. Structures of acceptors for glycosylation reactions using donors **3a-c**. Bold arrow indicates the glycosylation site. (SE: trimethylsilylethyl)

As shown in Figure 4, the 5-*N*-modified-2-thiosialosides (**23**, **24**) and deoxy sialic acid donors (**25**, **26**) also show good α -selectivity (Table 2)(51-53). In addition, the S-sialyl xanthates **28** and **29**, first reported by Sinay *et al.* (54), showed comparable reactivity to that of the thiol analogs (**23**, **24**) but with less α -selectivity (Table 3 and Figure 5). The reaction conditions of these two classes of sialyl donors are similar except the promoters are different (54-61). When benzoate was used to protect the hydroxyl groups of the acceptors, sialylation yield decreased (entries 8 and 9 in Table 3). The xanthate donors, however, are not as popular as the thiol ones.



Figure 4. 2-Methyl thiol NeuAc analogues as sialic acid donors (compound 27 as the acceptor).

Table 2. Glycosylation using 2-thio NeuAc analogues

Entry	Donor	Acceptor	Promoter	Yielc α	l (%) β	Reference
1	23	18	NIS/T _f OH	55	0	51
2	23	27	NIS/T _f OH	50	13	51
3	24	21	NIS/T _f OH	61	0	52
4	25	9	NIS/T _f OH	45	0	53
5	26	9	NIS/T _f OH	45	0	53

Entry	Donor	Acceptor	Promoter	Yield (%) αβ		Reference
1	28	30	DMTST	48	16	54
2	28	31	DMTST	26	4	54
3	28	32	AgOT _f /MeSBr	71	4	55
4	28	33	AgOT _f /MeSBr	82	-	56
5	28	34	AgOT _f /MeSBr	56	-	57
6	28	35	AgOT _f /MeSBr	65	-	58
7	28	36	AgOT _f /PhSCI	58	-	59
8	29	37	AgOT _f /MeSBr	39	9	60
9	29	38	AgOT _f /MeSBr	15	5	60
10	29	39	AgOT _f /MeSBr	18	5	60
11	29	40	AgOT _f /MeSBr	41	18	61

Table 3. Glycosylation using the xanthates of NeuAc

Although the 2-thioglycosides of neuraminic acid have been applied for the addition of sialic acids, the requirement of at least two equivalents of thiophilic reagents is a disadvantage for this approach. Wong (12) and Schmidt (64) independently reported the utilization of sialyl phosphites as the donors of sialylations. As shown in Figure 6 and Table 4, these phosphite donors showed good α -selectivity for secondary hydroxyl acceptors. Nevertheless, as previously mentioned for most sialic acid donors, the obstacle still remains to obtain exclusive α -selectivity for sialylations occurring at primary hydroxyl groups. In order to solve this challenging problem, the anchimeric assistance by introducing an auxiliary group at C-3 has been proposed. As shown in Figures 7, 8, and Table 5 (67-73), the arylthio groups

present a good directing effect with excellent α -selectivity.



Figure 5. Structures of sialyl donors (xanthate type) and acceptors (Bold arrow indicates the glycosylation site).



Figure 6. Structures of acceptors for the sialyl phosphite donors. (Bold arrow indicates the glycosylation site).

Enter (_	Yield	l (%)	D - (
	Entry	Donor	Acceptor	Promoter	α	Ìβ	Reference	
_	1	4a	41	TMSOT _f	67	13	12	
	2	4a	42	TMSOT _f	67	11	12	
	3	4a	43	TMSOT _f	68	11	12	
	4	4a	44	TMSOT _f	75	0	62	
	5	4a	18b	TMSOT _f	77	0	62	
	6	4a	45	TMSOT _f	37	9	63	
	7	4b	41	TMSOT _f	56	14	64	
	8	4b	46	TMSOT _f	38	0	64	
	9	4b	47	TMSOT _f	55	0	65	
	10	4b	48	TMSOT _f	51	0	66	
_	11	4b	49	TMSOT _f	67	17	63	_
BnQ BnO	OBn		BnQ BnQ	OBn CC	D₂Me ⁻R	AcQ A		
Acri	BnÓ	-Sepr		BnO	SPN	A	AcO	-SPn
50			51a R= 51b R= 51c R=	F Cl Br		52a 52b	R=Et R=Me	
Act			D ₂ Me SMe	BnC B A		Bn D nO	CO ₂ Me SMe SPh	
	53	-	$\sim <$		54			

 Table 4.
 Glycosylation using sialyl phosphites as donors

Figure 7. 3-Substituent NeuAc analogues as sialyl donors



Figure 8. Structures of sialylation acceptors 55-61 for using 3-substituted NeuAc analogues (50-54) as sialyl donors

Entry	Donor	Acceptor	Promoter	Yield (%)		Reference
1	50	55	AgOT ₆ -SnCl ₂	46	-	67
2	50	56	AgOT _f -SnCl ₂	72	-	67
3	50	47	AgOT _f -SnCl ₂	20	-	67
4	51a	55	AgOT _f -SnCl ₂	68	4	68
5	51b	56	Hg(CN) ₂ -HgBr ₂	71	0	68
6	51b	47	Hg(CN) ₂ -HgBr ₂	64	-	68
7	51c	57	Hg(CN) ₂ -HgBr ₂	85	-	69
8	52a	58	MSB/T _f OH	67	-	70
9	52a	59	MSB/T _f OH	77	-	70
10	52a	18a	MSB/T _f OH	71	0	70
11	53	18a	PhSCI/AgOT _f	78	0	71
12	53	60	PhSCI/AgOT _f	83	0	71
13	52b	18a	PhSCI/AgOT _f	83	0	72
14	54	61	NIS/T _f OH	85	0	73

Table 5. Glycosylation using 3-substituted NeuAc analogues

The α -2,8-disialic acid, NeuAc- α (2-8)-NeuAc, is an essential component of some important glycoconjugates including gangliosides, oligo- and polysialic acids. These molecules play indispensable roles in numerous biological phenomena; e.g., tumor- associated antigens and bacterial toxins. As for the corresponding sialylations, the C-8 hydroxyl functionality as an acceptor exhibits low reactivity due to steric hindrance and intramolecular hydrogen bonding of 8-OH and 1-carboxylic ester (or 2-substituent)(74). On the other hand, attempts to prepare the α (2-8)-linkage using traditional sialyl donors, such as thioglycosides or phosphites, gave a very low yield and/or undesired β -linkage (7,45,74).

To solve such a problem, the auxiliary group at the C-3 position of sialyl donors was introduced for an efficient neighboring group participation. As shown in Table 6 and Figure 9 (74-80), dimeric sialic acid was formed as one isomer when the donor had the auxiliary group at C-3 (entries 7-9). The results appeared different from the examples without the C-3 auxiliary where α,β mixtures were obtained (entry 6). Additionally, the acceptors of 2,3-anhydroneuraminic acid derivatives, containing no hydrogen bonding as mentioned above, gave better yields of α , β mixtures when coupled with normal sialyl donors, e.g., **4b** and **3c**. Recently, Schmidt and coworkers reported that the phenoxythiocarbonyloxy group served as an excellent anchimeric group in terms of α -selectivity and yield (up to 83%) by using the phosphite as the leaving group.



Figure 9. Sialic acid donors and acceptors for synthesis of NeuAc α (2-8)NeuAc (Bold arrow indicates the glycosylation site).

Being useful for establishing the NeuAc(2-3)Gal glycosidic linkage, the sialyl donor 3c was also studied to prepare α -2,8 and α -2,9 sialic acid dimers. It demonstrated that the glycosyl acceptors of di-*N*-acetylated derivatives **74b** and **76b** generated significantly better results than those of mono-*N*-acetylated compounds **74a** and **76a** (entries 10, 11, 13, and 14 in Table 6). It indicated the possibility that the nucleophilicity of 8-OH could well be enhanced by removing the hydrogen bonding between 8-OH and 5-NAc.

Entry	Donor	Acceptor	Promoter	Yield	l (%)	Reference
			Tromotor	α	β	
1	62	68	Hg(CN) ₂ /HgBr ₂	55	28	75
2	63	69	AgOT _f /Na ₂ HPO ₄	26	8	76
3	63	70	AgOT _f /Na ₂ HPO ₄	42	21	76
4	51c	71	Hg(CN) ₂ /HgBr ₂	64	-	77
5	4b	72	TMSOT _f	-	55	74
6	4b	73	$Sn(OT_f)_2$	14	54	74
7	64	73	AgOT _f /DTBP	68	-	74
8	65	73	TMSOT _f	-	58	78
9	66	73	TMSOT	83	-	78
10	3c	74a	NIS/T _f OH	8	8	79
11	3c	74b	NIS/T _f OH	32	18	79
12	3c	75	NIS/T _f OH	20	40	79
13	3c	76a	NIS/T _f OH	44	23	79
14	3c	76b	NIS/T _f OH	70	28	79
15	52a	77	AgOT _f /MSB	28	-	70
16	67	78	AgOT _f /SnCl ₂	49	-	80

Table 6. Synthesis of NeuAc α (2-8)NeuAc

SUMMARY

In conclusion, either enzymatic or chemical approaches have their unique features and unavoidable Enzyme-catalyzed disadvantages. sialylations provide the desired sialo-glycosidic linkages in the two enzyme reactions (CMP-NeuAc synthetase and sialyltransferase) with exclusive stereoselectivity and high yield as long as the required sialyltransferase is available. High substrate specificity of the two enzymes is a limitation so that many unnatural glycoconjugates cannot be prepared enzymatically. As for chemical glycosylations of sialic acids, it is possible to introduce any modification in sialyl donor and acceptor, in addition to create special sugar linkages. Nevertheless, reducing the number of reaction steps (for preparing both donors and acceptors of glycosylation), and enhancing stereoselectivity, as well as reaction yield are still problems to be overcome.

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