

# Chp 5 The classical theory of Soergel bimodules

Last time:

$MN = M \otimes_R N$  for short

Defined Bott-Samelson bimod  $BS(\underline{w}) = B_{s_1} \dots B_{s_r} = R \otimes_{R^{s_1}} \dots \otimes_{R^{s_r}} R(\underline{w})$

where  $\underline{w} = s_1 \dots s_r$  is an expr.,

$$SBim = \left\{ M \in R\text{-gbim} \mid M \mid \bigoplus_{i=1}^n BS(w_i)(m_i) \right\}$$

In particular,  $BS(\underline{e}) = R$  are indec. Soergel bimod which we call  $B_e$   
 $BS(\underline{s}) = R \otimes_{R^s} R(1)$   $B_s$

Goal 1. Classify indecomposable Soergel bimod

2. State Soergel's cat'n theorem and its appl'ns.

to be elaborated

Recall  $R = \text{Sym}(V)$ , For now we assume  $V$  satisfies some technical cond (\*)

Defn For each  $x \in W$ , we define a standard module  $R_x \in R\text{-gbim}$  s.t.

$R_x \cong R$  with the same left action

a twisted right action  $m_{\text{new}} \cdot r = m_{\text{old}}(xr)$

$$\Rightarrow R_x R_y = R_{xy}$$

Let  $\text{StdBim} =$  smallest strictly full subcat'y of  $R\text{-gbim}$  containing  $R_x, x \in W$

full + closed under iso

Fact (1)  $\text{StdBim}$  is monoidal, closed under taking  $\oplus$  summands.

$$(2) [\text{StdBim}]_{\oplus} \cong \mathbb{Z}[V^{\pm 1}][W]$$

$$\text{indec } [R_x] \xrightarrow{\text{cl}(1)} \begin{matrix} x \\ V^{\pm 1} \end{matrix}$$

(3)  $\exists$  SES's in  $R\text{-gbim}$ :

$$0 \rightarrow R_s(-1) \rightarrow B_s \rightarrow R(1) \rightarrow 0 \quad (\Delta)$$

$$0 \rightarrow R(-1) \rightarrow B_s \rightarrow R_s(1) \rightarrow 0 \quad (\nabla)$$

$(\Delta) \Leftrightarrow$  fil'n  $0 \subset R_s(-1) \subset B_s$  w/ subquotients  $R_s(-1), R(1)$

$(\nabla) \Leftrightarrow - \subset R(-1) \subset B_s \text{ --- } R(-1), R_s(1)$

Example (fil'n for  $BS(\underline{ss}) = B_s B_s$ )  $\Delta$  modules are free  $\Rightarrow$  tensoring is exact

$$(\Delta) B_s: 0 \rightarrow R_s B_s(-1) \rightarrow B_s B_s \rightarrow R B_s(1) \rightarrow 0 \cong B_s(1)$$

$$R_s(-1)(\Delta): 0 \rightarrow R_s R_s(-2) \rightarrow R_s B_s(-1) \rightarrow R_s R \rightarrow 0 \cong R(-2) \cong R_s$$

$$R(1)(\Delta): 0 \rightarrow R R_s \rightarrow R B_s(1) \rightarrow R R(2) \rightarrow 0 \cong R_s \cong R(2)$$

$\Rightarrow$  fil'n on  $BS(\underline{ss})$  w/ subquot.  $R_e, R(2), R_s, R_s$

$\Rightarrow \dots \Rightarrow$  fil'n on  $BS(\underline{w})$  w/ subquot.  $\cong \otimes$  of  $R(1)$ 's and  $R_s(-1)$ 's  
 $\uparrow$   $\text{StdBim}$  (up to shift)

$\Delta \ni$  fil'n on  $BS(\underline{w})$  using  $(\nabla)$  or a mixture of  $(\Delta), (\nabla)$ .

Now we define two fil'ns for  $B \in \text{StdBim}$

Defn Fix  $W = \{x_0, x_1, \dots\}$  s.t.  $x_i \leq x_j \Rightarrow i \leq j$

A  $\Delta$ -filtration of  $B$  is  $0 = B^k \subset B^{k-1} \subset \dots \subset B^0 = B$

$$\text{s.t. } B^i/B^{i+1} \cong R_{x_i} \oplus h_{x_i}$$

where  $h_{x_i} \in \mathbb{Z}_{\geq 0}[V^{\pm 1}]$  tracks the shift e.g.  $R_s^{\oplus V+V^{-1}} = R_s(1) \oplus R_s(-1)$

Fact (4) [Soergel]

$\Delta$ -fil'n is unique. Moreover,  $h$  depends only on  $x$  and  $B$ , not on the choice of enumeration.

$$\Rightarrow \underline{\Delta\text{-character}}. \text{ch}_{\Delta}(B) = \sum_{x \in W} v^{\text{cl}(x)} h_x(B) \delta_x \in \mathcal{H}(W)$$

is well-defined

(5)  $\exists$   $\nabla$ -variant for (4): for  $W = \{x_0, x_1, \dots\}$  as above,

$\exists!$   $\nabla$ -fil'n of  $B$  i.e.  $0 \subset B^1 \subset \dots \subset B^k = B$  w/

$$B^{i+1}/B^i \cong R_{x_i} \oplus h_{x_i}$$

$\Rightarrow$  The  $\nabla$ -character  $ch_{\nabla}(B) = \sum_{x \in W} v^{l(x)} \overline{h_{x(B)}} \delta_x \in \mathcal{H}(W)$  is well-defined.

Example

( $\Delta$ ) is the  $\Delta$ -filtn of  $B_S$  wrt  $W = \{e = x_0, s = x_1, \dots\}$  by

$$\begin{aligned} B^0 &= B_S \\ B^1 &= R_S(-1) \Rightarrow B^0/B^1 \cong R(1) = R_{x_0}^{\oplus v} \\ B^2 &= 0 \Rightarrow B^1/B^2 \cong R_S(-1) = R_{x_1}^{\oplus v^{-1}} \end{aligned}$$

$$\Rightarrow ch_{\Delta}(B_S) = v \delta_e + v \cdot v^{-1} \delta_s = \delta_s + v$$

( $\nabla$ ) is the  $\nabla$ -filtn of  $B_S$  wrt  $W = \{e = x_0, s = x_1, \dots\}$  by

$$\begin{aligned} B^0 &= 0 \Rightarrow B^1/B^0 \cong R(-1) = R_{x_0}^{\oplus v^{-1}} \\ B^1 &= R(-1) \\ B^2 &= B_S \Rightarrow B^2/B^1 \cong R_S(1) = R_{x_1}^{\oplus v} \end{aligned}$$

$$\Rightarrow ch_{\nabla}(B_S) = v^{-1} \delta_e + v \delta_s = \delta_s + v = ch_{\Delta}(B_S)$$

Fact (6)  $ch_{\Delta}(B \oplus B') = ch_{\Delta}(B) + ch_{\Delta}(B') \Rightarrow ch_{\Delta}: [SBim]_{\oplus} \rightarrow \mathcal{H}$  are  $\mathbb{Z}$ -linear

$$ch_{\nabla}(B \oplus B') = ch_{\nabla}(B) + ch_{\nabla}(B'), \quad ch_{\nabla}: [SBim]_{\oplus} \rightarrow \mathcal{H}$$

However,  $ch_{\Delta}(B(-1)) = v ch_{\Delta} B \rightarrow ch_{\Delta}$  is  $\mathbb{Z}[v, v^{-1}]$ -linear  
 $ch_{\nabla}(B(-1)) = v^{-1} ch_{\nabla} B$  while  $ch_{\nabla}$  isn't

$\Rightarrow$  We prefer  $ch_{\Delta}$  and set  $ch := ch_{\Delta}$  from now on.

(7)  $ch_{\Delta}(B) = ch_{\nabla}(B)$  if  $B$  indecomposable w/o "grading shift"  
 does not hold in general. (See §18)

Thm (Soergel's categorification thm)

Under ( $\star$ ), we have:

$$(1) \text{Indec}(SBim) / \mathbb{Z} \cdot (1) \xrightarrow{1:1} W, \text{ where } B_x \mid BS(x) \\ B_x \xrightarrow{\quad} x$$

$$\text{Moreover, } BS(x) = \bigoplus_{y \leq x} B_y^{\oplus h_y} \text{ with } h_x = 1$$

(2)  $ch: [SBim]_{\oplus} \rightarrow \mathcal{H}$  is a  $\mathbb{Z}[v^{\pm 1}]$ -alg isom with  
 inverse  $c: \mathcal{H} \rightarrow [SBim]_{\oplus}$  sending  $b_s$  to  $B_S$ .

(1)  $\Rightarrow$  algorithm finding  $B_x$  (will be elaborated in later chapters)

$$(1) \Rightarrow (B_x : R_x(-l(x))) = 1$$

$$(B_x : R_y^{\oplus h_y}) = 0 \text{ unless } y < x$$

$\hookrightarrow$  proof requires Deodhar formula in §3.

Conj (Soergel)  $\leftarrow$  Now proved by [Elias-Williamson], see Part IV

$$ch(B_x) = b_x \quad \forall x \in W$$

Moreover,  $h_x(B_y) = h_{x,y}(v)$  is the KL polyn.

$$\Rightarrow h_{x,y}(v) \in \mathbb{Z}_{\geq 0}[v^{\pm 1}]; \text{ KL positivity conj}$$

(no known proof w/o using catn)

Recall the (sesquilinear) standard form  $(-, -): \mathcal{H} \times \mathcal{H} \rightarrow \mathbb{Z}[v^{\pm 1}]$  from §3

$$\text{We had } (\overline{\delta}_x, \delta_y) = \begin{cases} 1 & \text{if } x=y \\ 0 & \text{otw} \end{cases}$$

Thm  $\Rightarrow$  Soergel Hom formula: for  $B, B' \in SBim$ ,

$$(ch(B), ch(B')) = \text{graded rank}(\text{Hom}_{SBim}^{\bullet}(B, B'))$$

$\triangle$  sesquilinear = antilinear in 1st var  
 linear in 2nd var

The assumption  $(\star)$ :

Defn

A  $W$ -mod  $V$  is reflection faithful if

- $V$  is faithful, (otw  $\Delta$ -filn is not well-defined)
  - $x$  is a refln (i.e.,  $x = gsg^{-1}$  for  $g \in W, s \in S$ )
- $\Leftrightarrow \text{codim } V^x = 1$

Fact (7)  $V_{\text{geom}}$  on which  $W$  acts by  $s(\lambda) = \lambda - (\lambda, \alpha_s)\alpha_s$  is always faithful

$V_{\text{geom}}$  is NOT refln faithful when  $W = I_2(\infty)$  or affine Weyl group

(8) Soergel constructed a Kac-Moody repn  $V_{\text{KM}}$  s.t.

$V_{\text{KM}}$  is reflection faithful when  $\mathbb{k} = \mathbb{R}$ .

(9) If  $|W| = \infty$  then  $\nexists$  faithful f.d. repn of  $W$  over  $\mathbb{k} = \mathbb{F}_p$  or  $\overline{\mathbb{F}}_p$

(faithfulness is restrictive!)

$(\star) \Leftrightarrow \begin{cases} V \text{ is reflection faithful} \\ |\mathbb{k}| = \infty \text{ and } \text{char } \mathbb{k} \neq 2. \end{cases}$

Rmk Soergel's "classical" approach doesn't work for finite fields

Later we will remove this restriction by using a diagrammatic category defined by generators/relations coming from a given realization of Coxeter sys.

Defn Let  $\mathbb{k}$  = comm. integral domain. A realization of  $(W, S)$  over  $\mathbb{k}$  is a triple  $(\mathfrak{g}, \{\alpha_s\}, \{\alpha_s^\vee\})$  where

$\mathfrak{g}$  is a free  $\mathbb{k}$ -mod of finite rank

$\{\alpha_s^\vee \in \mathfrak{g}\}_{s \in S}$  and  $\{\alpha_s \in \mathfrak{g}^*\}_{s \in S}$  are subsets satisfying

$$1. \alpha_s(\alpha_s^\vee) = 2 \quad \forall s \in S$$

$$2. S \times \mathfrak{g} \rightarrow \mathfrak{g} \quad \text{extends to a } W\text{-action}$$

$$(s, v) \mapsto v - \alpha_s(v)\alpha_s^\vee$$

3. technical conditions to rule out unusual poss. say in char 2.  $\approx$  quantum  $\neq$

$$\Rightarrow \text{Geom. real'n. } V_{\text{geom}} = \mathfrak{g}^* \text{ w/ } s \cdot \lambda = \lambda - \lambda(\alpha_s)\alpha_s \in \mathfrak{g}^*$$

$$\Rightarrow R = \text{Sym}(\mathfrak{g}^*) = \mathbb{k}[\alpha_s : s \in S]$$

From now on, we assume that  $(\mathfrak{g}, \{\alpha_s\}, \{\alpha_s^\vee\})$  satisfies the Demazure surjectivity, i.e.,

$$\alpha_s : \mathfrak{g} \rightarrow \mathbb{k}, \quad \alpha_s^\vee : \mathfrak{g}^* \rightarrow \mathbb{k} \text{ are surjective } \forall s \in S$$

$\triangle$  This is true if  $2 \in \mathbb{k}^\times$ .

We need this assumption in §8 to assert that

$$R^s \subset R \text{ is a Frobenius extension } \forall s \in S.$$

Finally, we need an assumption on the Cartan matrix

$$A = (A_{ij})_{i,j \in S} \text{ where } A_{ij} = \alpha_j(\alpha_i^\vee) \in \mathbb{k}$$

$\perp$  no integrality conditions like in Lie theory

We require that  $A$  is balanced, i.e.,

$$A_{ij} = A_{ji} = -[2]_q \Rightarrow [m_{ij}^{-1}]_q = 1$$

$$\text{where } [n]_q = q^{n-1} + q^{n-3} + \dots + q^{1-n} \text{ for } n \geq 1, q \in \mathbb{k}^\times$$

## Appendix: Demazure operators

Defn For  $s \in S$ , the Demazure operator is the graded map

$$\partial_s : R \rightarrow R^S(-2) \quad \text{well-defined since } R = R^S \oplus R^S \alpha_s$$

$$f \mapsto \frac{f - s(f)}{\alpha_s} \quad \left\{ \begin{array}{l} \text{anti-invariant} \\ \text{divisible by } \alpha_s \end{array} \right.$$

e.g.  $\partial_s(\alpha_2) = \frac{\alpha_2 - s_1 \alpha_2}{\alpha_1} = \frac{\alpha_2 - (\alpha_1 + \alpha_2)}{\alpha_1} = -1$

Fact (1) The iso  $R \rightarrow R^S \oplus R^S(-2)$  as  $R^S$ -mod is given by

$$f \mapsto (\partial_s(f \frac{\alpha_s}{2}), \partial_s(f)) \quad \text{with inverse}$$

$$g + h \frac{\alpha_s}{2} \longleftarrow (g, h)$$

(2) Consider  $B_S \cong R(1) \oplus R(-1)$  as graded  $R$ -mod and elts

$$c_e = 1 \otimes 1, \quad c_s := \frac{1}{2}(\alpha_s \otimes 1 + 1 \otimes \alpha_s) \in B_S.$$

We have,  $\forall f \in R$ ,

$$\begin{cases} f c_s = c_s f \\ f c_e = c_e \cdot s(f) + c_s \cdot \partial_s(f) \\ c_e f = s(f) \cdot c_e + c_s \cdot \partial_s(f) \end{cases}$$

$\Rightarrow \{c_e, c_s\}$  forms a basis of  $B_S$  whether as a left or right  $R$ -mod

$$\Rightarrow R \cdot c_s = \{x \in B_S \mid f x = x f \forall f \in R\} \subseteq B_S : \text{submod}$$

$$\cong R(-1)$$

Hence  $R(-1) \hookrightarrow B_S$  and it can be checked that

$$1 \mapsto c_s$$

$$0 \rightarrow R(-1) \rightarrow B_S \rightarrow R_S(1) \rightarrow 0 \quad \text{is a SES}$$

$$1 \mapsto c_s \quad f \otimes g \mapsto f \cdot s(g) \quad \text{in } R\text{-gbim}$$

(3) Consider elt  $d_s = \frac{1}{2}(\alpha_s \otimes 1 - 1 \otimes \alpha_s) \in B_S$

We have,  $\forall f \in R$ ,

$$\begin{cases} f \cdot d_s = d_s \cdot s(f) \\ f \cdot c_e = c_e \cdot f - d_s \cdot \partial_s(f) \\ c_e \cdot f = s(f) \cdot c_e - d_s \cdot \partial_s(f) \end{cases}$$

$\Rightarrow \{c_e, d_s\}$  forms a basis of  $B_S$  as  $R$ -mod or  $\text{mod-}R$

$$\Rightarrow R_S(-1) \cong R \cdot d_s \subseteq B_S$$

$$\Rightarrow 0 \rightarrow R_S(-1) \hookrightarrow B_S \longrightarrow R(1) \rightarrow 0 \quad \text{is a SES}$$

$$1 \mapsto d_s \quad f \otimes g \mapsto f g \quad \text{in } R\text{-gbim}$$

Rmk  $\{\partial_s\}$  satisfy the Braid relations

$\Rightarrow \partial_w (w \in W)$  is well-defined

In fact,  $\{\partial_w \mid w \in R\}$  forms a basis of so-called nilCoxeter alg in  $\text{End}_{\mathbb{R}}(R)$ .