

III - 1. Category \mathcal{O}

\mathfrak{g} : a KM alg. For $\lambda, \mu \in \mathfrak{h}^*$, write $\lambda \geq \mu$ if $\lambda - \mu \in \mathbb{Q}^+ \sum_{i=1}^l \mathbb{Z}_{\geq 0} \alpha_i$

Set $\mathfrak{h}_{\leq \lambda}^* = \{ \mu \in \mathfrak{h}^* \mid \mu \leq \lambda \}$

Def: \mathcal{O} for KM alg.

Objects: \mathfrak{g} -modules M satisfies $\text{mult}_{\lambda}(M) < +\infty$

(C1) M is a weight module (w.r.t. \mathfrak{h}) and $\dim M_{\lambda} < +\infty$ for any wt.

Set $P(M) = \{ \lambda \in \mathfrak{h}^* \mid M_{\lambda} \neq 0 \}$ set of wts of M

(C2) $\exists \lambda_1, \dots, \lambda_k$ (finite set!) $\in \mathfrak{h}^*$ s.t. $P(M) \subseteq \bigcup_{i=1}^k \mathfrak{h}_{\leq \lambda_i}^*$



Morphisms: \mathfrak{g} -module homomorphisms.

\mathcal{O}_{γ} : parabolic version $\gamma \in \{1, 2, \dots, l\}$ $\mathcal{O} \supseteq \mathcal{O}_{\gamma}$

Facts:

w : Cartan involution.
 $e_i \rightarrow -f_i$
 $f_i \rightarrow -e_i$
 $h_i \rightarrow -h_i$

(1) \mathcal{O} is closed under taking submodule and subquotient.
 \oplus and \otimes with finitely many objects in \mathcal{O}

(2) $M \in \mathcal{O} \Rightarrow M^{\sigma} \in \mathcal{O}$ $M^{\sigma} = M^{\vee}$ with the \mathfrak{g} -module structure $x \cdot f(m) := -f(w(x) \cdot m)$
 and $(M^{\sigma})^{\sigma} = M$ $M = \bigoplus_{\lambda} M_{\lambda}$ $M^{\vee} = \bigoplus_{\lambda} M_{\lambda}^*$ (restricted dual).
 $\forall \lambda \in \mathfrak{g} \ f \in M^{\vee} \ m \in M$

Verma module:

$\lambda \in \mathfrak{h}^* \rightsquigarrow \mathbb{C}_{\lambda}$: the 1-dim \mathfrak{h} -module. $h \cdot z = \lambda(h)z$. $\forall z \in \mathbb{C}_{\lambda}$

Let \mathfrak{n}^+ acts on \mathbb{C}_{λ} as zero $\Rightarrow \mathbb{C}_{\lambda}$ is a left $U(\mathfrak{b})$ -module.

$M(-\lambda) := U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_{\lambda}$ a left $U(\mathfrak{g})$ -module

$\cong U(\mathfrak{n}^-) \otimes_{\mathbb{C}} \mathbb{C}_{\lambda}$ a left $U(\mathfrak{b}^-)$ -module by PBW.

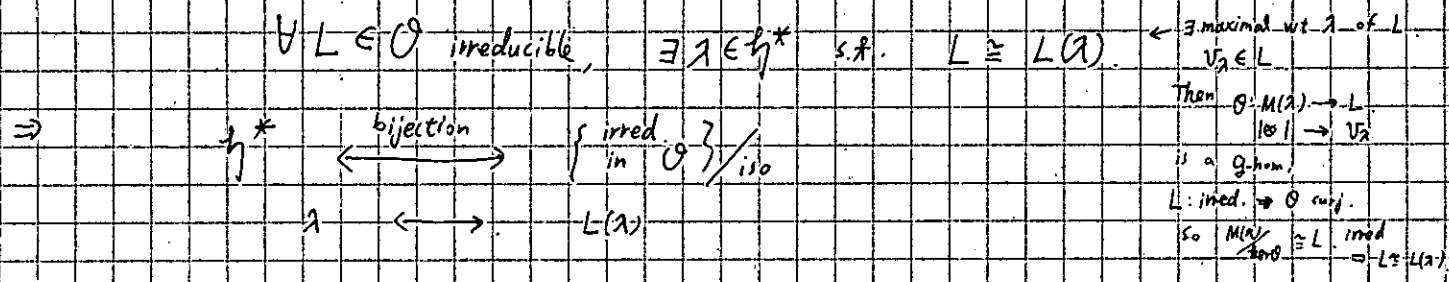
\nwarrow \mathfrak{n}^- acts as left multiplication.
 \mathfrak{h} acts by adjoint.

$M(\lambda)$ is a free $U(\mathfrak{n}^-)$ -module with $1 \otimes 1$ a generator.
 is a wt module.

Def. A \mathfrak{g} -module $L \in \mathcal{O}$ is called a ht wt module with ht wt λ if L is a quotient of $M(\lambda)$.

- Facts:
- (1) $\dim L_\lambda = 1$ (the image of $1 \otimes 1$ under the quotient.)
 - (2) $\forall \mu \in P(L), \mu \leq \lambda$
 - (3) $\text{End}_{\mathfrak{g}} L = \mathbb{C} \text{Id}_L$
 - (4) $M(\lambda) \in \mathcal{O}$ (and hence any ht wt module $M \in \mathcal{O}$)

Lemma 2.1.2
2.1.3. Any Verma $M(\lambda)$ has a unique maximal proper submodule $M'(\lambda)$ and unique irreducible quotient $L(\lambda)$.



Formal character:

$\lambda \in \mathfrak{h}^* \rightsquigarrow e^\lambda$ a formal symbol.

Let $\Lambda = \left\{ \alpha = \sum_{\lambda \in \mathfrak{h}^*} a_\lambda e^\lambda \mid (d1) \text{ and } (d2) \right\}$

(d1) $a_\lambda \in \mathbb{Z} \quad \forall \lambda$

(d2) $\exists \lambda_1, \dots, \lambda_k \in \mathfrak{h}^*$ s.t. $a_\lambda = 0 \quad \forall \lambda \notin \bigcup_{i=1}^k \mathfrak{h}^*$
 $\lambda \leq \lambda_i$
 depending on α

Λ is closed under the following well-defined multiplication Due to (d2)

$$\left(\sum_{\lambda} a_\lambda e^\lambda \right) \cdot \left(\sum_{\mu} b_\mu e^\mu \right) = \sum_{\theta} \left(\sum_{\lambda+\mu=\theta} a_\lambda b_\mu \right) e^\theta$$

In particular, $e^\lambda e^\mu = e^{\lambda+\mu}$ and $e^0 = 1$.

$\forall M \in \mathcal{O}, M = \bigoplus_{\lambda \in \mathfrak{h}^*} M_\lambda \rightsquigarrow \text{ch } M = \sum_{\lambda \in \mathfrak{h}^*} (\text{mult}_\lambda M) \cdot e^\lambda \in \Lambda$ by (c1), (c2).

Facts: $M, N \in \mathcal{O}$

① $ch(M \oplus N) = ch M + ch N$ $ch(M \otimes N) = ch(M) \cdot ch(N)$

③ $ch(M/N) = ch M - ch N$ if N is a submodule of M

④ $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ SES in $\mathcal{O} \Rightarrow ch B = ch A + ch C$

⑤ W acts on A by $w \cdot e^\lambda = e^{w(\lambda)}$

Integrable modules

dominant integral wts

$$D = \left\{ \lambda \in \mathfrak{h}^* \mid \lambda(\check{\alpha}_i) \in \mathbb{Z}_{\geq 0} \quad \forall i \right\}$$

$\forall \lambda \in D$, define $M_\lambda(\lambda) =$ the \mathcal{G} -submodule of $M(\lambda)$ generated by

$$\left\{ f_i^{\lambda(\check{\alpha}_i)+1} \otimes 1 \mid 1 \leq i \leq l \right\} \quad \leftarrow \text{quotient out this makes all } f_i \text{ nilpotent}$$

Define the maximal integrable ht wt \mathcal{G} -module with ht wt $\lambda \in D$

to be

$$L^{\max}(\lambda) = M(\lambda) / M_\lambda(\lambda)$$

integrable: e_i and f_i act locally nilpotently $\forall i$.

• Verma $M(\lambda)$ is NOT integrable

Lemma 2.1.6

$$\forall \lambda \in D, \quad \forall 1 \leq i \leq l, \quad e_i \cdot (f_i^{\lambda(\check{\alpha}_i)+1} \otimes 1) = 0 \quad \text{in } M(\lambda) \quad \uparrow \quad M_\lambda(\lambda) \subset M(\lambda)$$

pf: Follows from $e_i f_i^n = f_i^n e_i + n f_i^{n-1} (-\check{\alpha}_i - n + 1)$ \leftarrow induction on n

Lemma 2.1.7

(1) $\forall \lambda \in D$, $L^{\max}(\lambda)$ is an integrable \mathcal{G} -module and hence its subquotients are integrable as well.

(2) Any integrable ht wt \mathcal{G} -module L must have ht wt $\lambda \in D$

and L is a quotient of $L^{\max}(\lambda)$

proof:

(1) by def

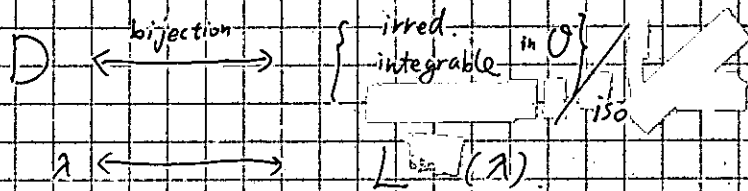
(2) L is a quotient of $M(\lambda)$ for some $\lambda \in \mathfrak{h}^*$ (ht wt). L_λ : ht wt space of L .

L is integrable \mathcal{G} -module and hence as \mathcal{G}_i -module $\forall 1 \leq i \leq l$ $\mathcal{G}_i \cong \mathfrak{sl}_2$:

$L_\lambda \cong$ a fd \mathfrak{sl}_2 -module $\Rightarrow \lambda(\check{\alpha}_i) \in \mathbb{Z}_{\geq 0} \quad \forall i$ and $f_i^{\lambda(\check{\alpha}_i)+1} \cdot v_\lambda = 0 \quad \forall v_\lambda \in L_\lambda$

\Downarrow
 $\lambda \in D$

Corollary 2.1.8



For $V \in \mathcal{U}$, $\mu \in \mathfrak{h}^*$, define $[V: L(\mu)]$

Remark: Do NOT have composition series in \square KM in general!

Lemma 2.1.9 (replacement)

$\forall V \in \mathcal{U}$, $\lambda \in \mathfrak{h}^*$, \exists a filtration of submodules

$$0 = V_0 \subset V_1 \subset \dots \subset V_p = V \quad \text{and a subset } J \subseteq \{1, 2, \dots, p\}$$

such that ① if $j \in J$ then $W_j := V_j/V_{j-1} \cong L(\lambda_j)$ for some $\lambda_j \geq \lambda$

② if $j \notin J$ then $(W_j)_\mu = 0 \quad \forall \mu \geq \lambda$

proof: Induction on $\alpha(V, \lambda) = \sum_{\mu \geq \lambda} \dim V_\mu$ *

Let F_λ be a filtration in the lemma, where λ is chosen with $\lambda \leq \mu$.

$$\begin{aligned} \text{Define } [V: L(\mu)]_{F_\lambda} &= \left| \left\{ j \mid W_j \cong L(\mu) \right\} \right| \\ &= \text{counting the number of appearances of } \mu \text{ in } \{\lambda_j \mid j \in J\} \end{aligned}$$

Then for any $V \in \mathcal{U}$, take ch to the filtration F_λ .

$$\begin{aligned} (\star) \quad \text{ch } V &= \sum_{\nu \geq \lambda} [V: L(\nu)]_{F_\lambda} \cdot \text{ch } L(\nu) + R_\lambda \\ &\stackrel{\text{finite sum by Lemma 2.1.9}}{\downarrow} \quad \quad \quad \stackrel{\text{such that } 0 \geq \lambda \Rightarrow a_0(\lambda) = 0}{\downarrow} \\ &= \sum_{\theta \in \mathfrak{h}^*} a_\theta(\lambda) e^\theta \end{aligned}$$

If we take some $\lambda' \leq \lambda$, then for any $\nu \geq \lambda$ and $\nu \geq \lambda'$,

$$\text{we have } [V: L(\nu)]_{F_\lambda} = [V: L(\nu)]_{F_{\lambda'}}$$

\Rightarrow May drop F_λ so $[V: L(\nu)]$ is well-defined

$V \in \mathcal{U}$ and $\mu \in \mathfrak{h}^*$, take $\lambda \in \mathfrak{h}^*$ with $\lambda \in \mu \rightarrow F_\lambda$

Define the multiplicity of $L(\mu)$ in V by

$$[V : L(\mu)] := |\{W_j \cong L(\mu)\}|$$

\leftarrow in F_λ

This is well-defined

We say $L(\mu)$ is a component of V if $[V : L(\mu)] \neq 0$.
($\Leftrightarrow L(\mu)$ is a subquotient of V)

Let $\{a_i = \sum_{\alpha} a_{i,\alpha} e^\alpha\}_{i \in I}$ be a family of elements in A . I : index set.

We call it \uparrow locally finite if $\forall \mu \in \mathfrak{h}^*$, the set $\{i \in I \mid a_{i,\mu} \neq 0\}$ is finite.

Then $\sum_{i \in I} a_i \in A$ ($\notin A$ in general!)

Lemma 2.1.12. $\forall V \in \mathcal{U}$, $\{[V : L(\mu)] \cdot \text{ch } L(\mu)\}_{\mu \in \mathfrak{h}^*}$ is locally finite.

Also, $\text{ch } V = \sum_{\mu \in \mathfrak{h}^*} [V : L(\mu)] \text{ch } L(\mu)$

proof: choose $\lambda \in \mu \rightarrow$ Take (*) on both sides, and compare coefficients of e^λ . *

Lemma 2.1.13. $\forall \lambda \in \mathfrak{h}^*$, $\text{ch } M(\lambda) = e^\lambda \prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{-\text{mult } \alpha}$ \leftarrow new for KM.

$$= e^\lambda \left[\prod_{\alpha \in \Delta^+} (1 + e^{-\alpha} + e^{-2\alpha} + \dots)^{\text{mult } \alpha} \right] \rightarrow \text{denoted by } R^{-1}$$

proof: PBW $\Rightarrow M(\lambda)$ has a basis in terms of monomials in a basis for \mathfrak{n} . *

II-2 Weyl-Kac character formula

Assume \mathfrak{g} : symmetrizable $\langle \cdot, \cdot \rangle$: a normalized inv. form on \mathfrak{h}^*

Theorem 2.2.1

χ : an integrable \mathfrak{h} -wt \mathfrak{g} -module with ht wt λ (Then $\lambda \in \mathfrak{D}$ by lemma 2.1.1)

$$\chi(\chi(\lambda)) = \sum_{w \in W} \epsilon(w) \chi(w \cdot \lambda)$$

determined by λ

where $R = \prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\text{mult } \alpha}$

* shift action: $w \cdot \lambda := w(\lambda + \rho) - \rho$ $\forall \lambda \in \mathfrak{h}^*$

ρ does not depend on \mathfrak{g}

$$\epsilon(w) = \pm 1 \text{ sign repn}$$

Corollary: $R = \sum_{w \in W} \epsilon(w) \chi(w \cdot \rho) = 1$ since $\chi(\rho) = \epsilon = 1$

Same version for \mathfrak{g} Δ^+ $\text{mult } \alpha$ where $\mathfrak{g} = \mathfrak{g}^+ / \mathfrak{g}^-$ $\text{max ideal of } \mathfrak{g}$ $\text{is } \mathfrak{g}^-$

Recall that $w \cdot \rho = e^{w(\rho)}$ so $w(e^\lambda) = w(\rho) = e^{w(\rho)}$

Its proof is based on several lemmas.

Lemma 2.2.2

V a ht wt module of ht wt λ

$\chi V = 1 \cdot \chi(\lambda)$ $\chi V = 1 \cdot \chi(\lambda)$ $\chi V = 1 \cdot \chi(\lambda)$

$\chi V = 1 \cdot \chi(\lambda)$ $\chi V = 1 \cdot \chi(\lambda)$ $\chi V = 1 \cdot \chi(\lambda)$

$$\chi V = \sum_{\mu \leq \lambda} c_{\mu} \chi M(\mu)$$

where $c_{\mu} \in \mathbb{Z}$

$$\langle \mu + \rho, \mu + \rho \rangle = \langle \nu + \rho, \nu + \rho \rangle$$

Lemma 2.2.3 $\Omega \equiv \langle \nu + \rho, \nu + \rho \rangle$ on $(\mathfrak{h} \oplus \mathfrak{h}) \otimes M(\nu)$

$\equiv \langle \mu + \rho, \mu + \rho \rangle$ on $M(\mu) \otimes M(\nu)$

Proved by Ω $L(\mu)$ appearing as a subobject of $M(\nu)$

$$w(e^\rho R) = \epsilon(w) \cdot e^\rho R$$

pf: Enough for $w = s_i$

$$s_i(e^\rho R) = e^{-\alpha_i} \prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\text{mult } \alpha}$$

$$= e^{-\alpha_i} \prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\text{mult } \alpha}$$

$$= e^\rho (e^{-\alpha_i} \prod_{\alpha \in \Delta^+} (1 - e^{-\alpha})^{\text{mult } \alpha}) = (-1) \cdot e^\rho R$$

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Lemma 2.2.4. Let $\lambda \in \mathfrak{h}^*$ with $\lambda(\alpha_i^\vee) \geq 0 \forall i$.

If $\nu \in \mathfrak{h}^*$ satisfies ① $\nu \leq \lambda + \rho$, ② $\langle \nu, \nu \rangle = \langle \lambda + \rho, \lambda + \rho \rangle$ ③ $\nu(\alpha_i^\vee) \geq 0$
 then $\nu = \lambda + \rho$.

proof: Write $\lambda + \rho - \nu = \sum_i a_i \alpha_i$, $a_i \in \mathbb{Z}_{\geq 0}$.

$$\langle \lambda + \rho, \lambda + \rho \rangle = \langle \nu, \nu \rangle = \langle \lambda + \rho, \lambda + \rho \rangle - \langle \lambda + \rho, \sum_i a_i \alpha_i \rangle = \langle \nu, \sum_i a_i \alpha_i \rangle$$

$$\Rightarrow \begin{aligned} 0 \leq \langle \lambda + \rho, \sum_i a_i \alpha_i \rangle &= - \langle \nu, \sum_i a_i \alpha_i \rangle \leq 0 \Rightarrow \text{all } a_i = 0 \\ &= \sum_i a_i (\langle \lambda, \alpha_i \rangle + \langle \rho, \alpha_i \rangle) - \sum_i a_i \langle \nu, \alpha_i \rangle \end{aligned}$$

proof of the Thm:

By Lemma 2.2.2 $\text{ch } L = \sum_{\mu \in S(\lambda)} d_\mu \text{ch } M(\mu)$ with $d_\lambda = 1$, $c_\mu \in \mathbb{Z}$.

$$S(\lambda) = \left\{ \mu \in \mathfrak{h}^* \mid \mu \leq \lambda, \langle \mu + \rho, \mu + \rho \rangle = \langle \lambda + \rho, \lambda + \rho \rangle \right\}$$

$$\times e^\rho R \Rightarrow e^\rho R \text{ch } L = \sum_{\mu \in S(\lambda)} d_\mu e^{\mu + \rho} \quad \text{by Lemma 2.1.13}$$

L is integrable $\Rightarrow \text{ch } L$ is W -invariant since $\dim L_\mu = \dim L_{w(\mu)}$ Lemma 1.3.5 (a)
 \Leftarrow Ex 2.2.E.

By Lemma 2.2.3

$$\begin{aligned} w \cdot (e^\rho R \text{ch } L) &= \varepsilon(w) \cdot e^\rho R \text{ch } L = \sum_{\mu \in S(\lambda)} \varepsilon(w) d_\mu e^{\mu + \rho} \\ &\parallel \\ w \cdot \left(\sum_{\mu \in S(\lambda)} d_\mu e^{\mu + \rho} \right) &= \sum_{\mu \in S(\lambda)} d_\mu e^{w(\mu + \rho)} \end{aligned}$$

Thus $\varepsilon(w) \cdot d_{w * \mu} = d_\mu \quad \forall w \in W$ (2A)

Fix $\mu \in S(\lambda)$ with $d_\mu \neq 0 \Rightarrow d_{w * \mu} \neq 0 \quad \forall w \in W \Rightarrow w * \mu \in S(\lambda) \Rightarrow w * \mu \leq \lambda$ $\forall w \in W$

Take $w_0 \in W$ s.t. $\lambda - w_0 * \mu$ is minimal $\lambda - w * \mu = \sum a_i \alpha_i$
 $a_i \in \mathbb{Z}_{\geq 0}$

Let $\nu = w_0 * \mu + \rho$. Then $\nu \leq \lambda + \rho$ since $w_0 * \mu \leq \lambda$.

$$\langle \nu, \nu \rangle = \langle w_0 * \mu + \rho, w_0 * \mu + \rho \rangle = \langle w_0(\mu + \rho), w_0(\mu + \rho) \rangle = \langle \mu + \rho, \mu + \rho \rangle = \langle \lambda + \rho, \lambda + \rho \rangle$$

Also $|\lambda - \omega_0 * \mu| \leq_{\text{minimal}} |\lambda - S_n \omega_0 * \mu| = |\lambda - \omega_0 * \mu + \langle \nu, \check{\alpha}_i \rangle \alpha_i| \quad \forall i$

$\therefore \langle \nu, \check{\alpha}_i \rangle \geq 0 \quad \forall i$

Lemma 2.2.4 $\Rightarrow \nu = \lambda + \rho$ or $\omega_0 * \mu = \lambda$ or $\mu = \omega_0^{-1} * \lambda$

Hence $\forall \mu \in S(\lambda)$ with $d_\mu \neq 0$, we have $\mu = w * \lambda$ for some $w \in W$ (unique)

and $d_\mu \stackrel{(\#A)}{=} \varepsilon(w) d_{w * \lambda} = \varepsilon(w) d_\lambda = \varepsilon(w)$

Then $e^\rho \cdot R \cdot \text{ch } L = \sum_{\mu \in S(\lambda)} d_\mu e^{\mu + \rho} = \sum_{w \in W} \varepsilon(w) e^{w(\lambda + \rho)}$

$\Rightarrow R \cdot \text{ch } L = \sum_{w \in W} \varepsilon(w) e^{w * \lambda} \quad \#$

Corollary: Any integrable ht wt \mathfrak{g} -module is irreducible

proof: Let L be such a module with L' its irreducible quotient.

They have the same ht $wt \Rightarrow$ same character $\Rightarrow L = L' \quad \#$

[Hence if $\lambda \in D$, then $L^{\max}(\lambda) = L(\lambda)$ and $M(\lambda) = M'(\lambda)$.]

Corollary: Any integrable $M \in \mathfrak{O}$ is completely reducible:

$M \cong \bigoplus_{\lambda \in D} L(\lambda) \oplus [M : L(\lambda)]$

[In particular, if $\lambda, \mu \in D$, then $L(\lambda) \oplus L(\mu)$ is integrable $\Rightarrow L(\lambda \oplus \mu) \cong \bigoplus_{\nu \in D} C_{\lambda \mu}^\nu L(\nu)$]

Very long proof

Ex 2.2.E

$M \in \mathfrak{O}$ and $\text{ch } M$ is W -invariant $\Rightarrow M$ is integrable

Motivation:

$$\lambda \in \mathfrak{h}^* \quad M(\lambda) \in \mathcal{O} \quad \Rightarrow \quad \text{ch } M(\lambda) = \sum_{\substack{\mu \in \mathfrak{h}^* \\ \mu \leq \lambda}} [M(\lambda) : L(\mu)] \text{ch } L(\mu).$$

Question: $[M(\lambda) : L(\mu)] \neq 0 \Leftrightarrow ? ?$

For s.s. Lie alg. (linkage principal)

$$[M(\lambda) : L(\mu)] \neq 0 \Leftrightarrow \mu \text{ is strongly linked to } \lambda.$$

(\Leftarrow) Verma's embedding theorem $M(\mu) \hookrightarrow M(\lambda)$

(\Rightarrow) ① Jantzen filtration of $M(\lambda)$

[BGG-Thm]

② Jantzen sum formula

To establish ① and ②, one needs Shapovalov bilinear form / determinant

Want to have KM analogues of these things