

II-3. Shapovalov Bilinear Form.

$S(\mathfrak{h})$ .

①

PBW  $\Rightarrow U(\mathfrak{g}) = (\mathfrak{n}^- \cdot U(\mathfrak{g}) + U(\mathfrak{g}) \cdot \mathfrak{n}^+) \oplus U(\mathfrak{h})$

Define the v.s. projection  $\mathcal{H}: U(\mathfrak{g}) \rightarrow S(\mathfrak{h})$  ( $\mathcal{H}$  for HC)

Recall the Cartan involution  $w: U(\mathfrak{g}) \rightarrow U(\mathfrak{g})$   $e_i \mapsto -f_i, h \mapsto -h$

Let  $\tau: U(\mathfrak{g}) \rightarrow U(\mathfrak{g})$  be the anti-auto given by  $\tau(x) = -x, \forall x \in \mathfrak{g}$   
(extend it to  $U(\mathfrak{g})$ )

and set  $\sigma := \tau \circ w = w \circ \tau: U(\mathfrak{g}) \rightarrow U(\mathfrak{g})$ , an anti-auto of order 2

Note that  $\sigma|_{U(\mathfrak{h})} = \text{identity map}$ .

Define the bilinear form  $S: U(\mathfrak{g}) \times U(\mathfrak{g}) \rightarrow S(\mathfrak{h})$   
*Shapovalov*  
 $(a, b) \mapsto \mathcal{H}(\sigma(a) \cdot b)$

Facts:

(1)  $S(a, b) = S(b, a)$  (symmetric)  $\sigma(\sigma(a)b) = \sigma(b)a$  if  $\sigma(a)b \in S(\mathfrak{h})$

(2)  $S(ca, b) = S(a, \sigma(c)b)$  (contravariant)

(3)  $S(U(\mathfrak{g})_{\gamma_1}, U(\mathfrak{g})_{\gamma_2}) = 0$  if  $\gamma_1 \neq \gamma_2 \in \mathfrak{h}^*$

$\downarrow$  treat  $U(\mathfrak{g})$  as a  $\mathfrak{h}$ -module by adjoint,  $\gamma_i$  is its weight

$\forall \lambda \in \mathfrak{h}^*$ , define  $P_\lambda: S(\mathfrak{h}) \rightarrow \mathbb{C}$  by  $P_\lambda(h_1, h_2, \dots, h_k) = \lambda(h_1) \dots \lambda(h_k), \forall h_i \in \mathfrak{h}$

$\leadsto P_\lambda \circ S: U(\mathfrak{g}) \times U(\mathfrak{g}) \rightarrow \mathbb{C}$

$\leadsto$  descends  $S_\lambda: M(\lambda) \times M(\lambda) \rightarrow \mathbb{C}$   
 $S_\lambda(a \otimes 1, b \otimes 1) = P_\lambda \circ S(a, b) \quad \forall a, b \in U(\mathfrak{g})$   
a symmetric bilinear form on  $M(\lambda)$ .

Recall  $M'(\lambda)$ : the unique maximal proper submodule of  $M(\lambda)$  s.t.  $M(\lambda)/M'(\lambda) = L(\lambda)$

Prop 2.3.2

(1)  $S_\lambda(x \cdot v, w) = S_\lambda(v, \sigma(x) \cdot w)$   $\forall v, w \in M(\lambda), x \in U(\mathfrak{g})$  [Contravariant]

(2)  $S_\lambda(M(\lambda)_\mu, M(\lambda)_\theta) = 0$  if  $\mu \neq \theta$ .

(3)  $S_\lambda(M'(\lambda), M(\lambda)) = 0$  ( $M'(\lambda)$  is the radical of the form  $S_\lambda$ )

(4)  $S_\lambda: L(\lambda) \times L(\lambda) \rightarrow \mathbb{C}$  is a non-deg sym, contravariant bilinear form.

(5) Any contravariant bilinear form on  $L(\lambda) = S_\lambda$ , up to a scalar, and hence symmetric. (Such a form is unique up to a scalar multiple of  $S_\lambda(1 \otimes 1, 1 \otimes 1)$ .)

proof:

(1) follows from Facts (1), (2). (2) follows from (1).

(3)  $a \otimes 1 \in M(\lambda), v \in M'(\lambda)$ .

$S_\lambda(v, a \otimes 1) = S_\lambda(\sigma(a) \cdot v, 1 \otimes 1) = 0$  by (2).  
 $\downarrow$   
 $M'(\lambda)$   
 $\lambda$  is not its wt.

By (1),  $\text{Ker } S_\lambda$  is a proper  $\mathfrak{g}$ -submodule of  $M(\lambda)$  containing  $M'(\lambda) \Rightarrow \text{Ker } S_\lambda = M'(\lambda)$ .

(4) follows from (3)

(5) Any such a form  $B$  is determined by the value  $B(1 \otimes 1, 1 \otimes 1)$ .

Definition:

$\forall \lambda \in \mathfrak{h}^*$ ,  $M(\lambda)$  admits a Jantzen filtration for  $KM$ .

Choose  $p \in \mathfrak{h}^*$   $p(\alpha_i) \geq 1$  and define a family of sym bilinear forms  $\hat{S}_\lambda$  on  $M(\lambda)$ .

$\hat{S}_\lambda(a \otimes 1, b \otimes 1) = P_{\lambda+tp}(S(a, b))$   $\forall a, b \in U(\mathfrak{n}^-)$

here  $P_{\lambda+tp}: S(\mathfrak{h}) \rightarrow \mathbb{C}[t]$  is given by

$P_{\lambda+tp}(h_1 \dots h_k) = (\lambda(h_1) + tp(h_1)) \dots (\lambda(h_k) + tp(h_k))$

By Ex 2.3.E (5).

By Ex 2.3.E (5),  $\exists$  a filtration of  $\mathfrak{g}$ -submodules

Need the bilinear form to define them.

$$M(\lambda) = M^0(\lambda) \supset M^1(\lambda) \supset \dots$$

where  $M^k(\lambda) := \left\{ v_0 \in M(\lambda) \mid \exists v_1, v_2, \dots, v_{k-1} \in M(\lambda) \text{ such that } \hat{S}_\lambda(v_0, w) + t \hat{S}_\lambda(v_1, w) + \dots + t^{k-1} \hat{S}_\lambda(v_{k-1}, w) \text{ is divisible by } t^k, \forall w \in M(\lambda) \right\}$   
 each  $M^k(\lambda)$  is a submodule of  $M(\lambda)$ .

Note that  $M^1(\lambda) = \text{Ker } S_{\lambda+p} = M^1(\lambda)$

$$v_0 \in M^1(\lambda) \Leftrightarrow \hat{S}_\lambda(v_0, w)|_{t=0} = 0 \quad \forall w \in M(\lambda) \\ \Leftrightarrow [P_\lambda \cdot S(v_0, w)] = 0 \quad \forall w \in M(\lambda)$$

For  $\theta \in \mathfrak{Q}^+$ , let  $U(\mathfrak{h}^-)_\theta = \{ x \in U(\mathfrak{h}^-) \mid h \cdot x = -\theta(h) x, \forall h \in \mathfrak{h} \}$

Let  $S_\theta : U(\mathfrak{h}^-)_\theta \times U(\mathfrak{h}^-)_\theta \rightarrow \mathfrak{S}(\mathfrak{h})$  be the restriction of  $S : U(\mathfrak{g}) \times U(\mathfrak{g}) \rightarrow \mathfrak{S}(\mathfrak{h})$

Define the Kostant partition function  $P(\theta) \in \mathbb{Z}_{\geq 0}$  by

$$\prod_{\alpha \in \Delta^+} (1 + e^{-\alpha} + e^{-2\alpha} + \dots)^{\text{mult } \alpha} = \mathbb{R} = \text{ch } M(0) = \sum_{\theta \in \mathfrak{Q}^+} P(\theta) e^{-\theta} \quad \left( \begin{array}{l} \text{set } P(\theta) = 0 \\ \text{if } \theta \notin \mathfrak{Q}^+ \end{array} \right)$$

Choose a basis  $F = \{ F_I \}$  of  $U(\mathfrak{h}^-)_\theta$

Define  $\det_F(S_\theta) := \det [S_\theta(F_I, F_{I'})]_{I, I'} \in \mathfrak{S}(\mathfrak{h})$   
 [Shapovalov det]

Simply write  $\det(S_\theta)$ , well-defined up to a non-zero scalar multiple

Theorem 2.3.4

$\mathfrak{g}$  symmetrizable. Then  $\forall \theta \in \mathfrak{Q}^+$ ,  $P(\theta - n\alpha) \cdot (\text{mult } \alpha)$

$$\det(S_\theta) = \prod_{(\alpha, n)} \left( \underbrace{V^{-1}(\alpha)}_{\in \mathfrak{h}} + \underbrace{\langle p - \frac{n\alpha}{2}, \alpha \rangle}_{\text{scalar}} \right) \leftarrow \text{a product of linear terms}$$

$\forall (\alpha, n) \in \Delta^+ \times \mathbb{N}$  such that  $\theta - n\alpha \in \mathfrak{Q}^+$  and any normalized invariant form on  $\mathfrak{h}^*$

$\uparrow$   
 $\theta$ -control the sum as well.

Also,  $\det(S_\theta) \neq 0 \quad \forall \theta \in \mathfrak{Q}^+$   
 [Step 2.]

Cor 2.3.5. [Jantzen sum formula for KM.]

$\mathcal{G}$  symmetrizable.  $\forall \lambda, \mu \in \mathfrak{h}^*$ ,  $M^k(\lambda)_\mu = 0$  for  $k$  large enough.   
 ↙ in Jantzen filtration of  $M(\lambda)$    
 ↑ depends on  $\lambda$  and  $\mu$ .

Also,  $\sum_{k \geq 1} \text{ch } M^k(\lambda) = \sum_{(\alpha, n) \in D_\lambda} \text{ch } M(\lambda - n\alpha)$

where  $D_\lambda = \left\{ (\alpha, n) \in \tilde{\Delta}^+ \times \mathbb{N} \mid \langle \lambda + \rho - \frac{n}{2}\alpha, \alpha \rangle = 0 \right\}$

↘  $= \Delta^+$  but counting mult  $\alpha$  for each  $\alpha \in \Delta^+$    
 e.g., mult  $\alpha = 3$ ,  $(\alpha^1, n)$ ,  $(\alpha^2, n)$ ,  $(\alpha^3, n)$  then set  $\alpha^1 = \alpha^2 = \alpha^3 = \alpha$

Cor 2.3.6

For  $\lambda, \mu \in \mathfrak{h}^*$

$[M(\lambda) : L(\mu)] > 0 \iff \exists \beta_1, \dots, \beta_p \in \Delta^+$  and  $k_1, \dots, k_p \in \mathbb{N}$  s.t.

(1)  $\lambda - \mu = \sum_{i=1}^p k_i \beta_i$  ( $\in \mathbb{Q}^+$ )

and

(2)  $\forall 1 \leq j \leq p, 2 \langle \lambda + \rho - \sum_{i=1}^{j-1} k_i \beta_i, \beta_j \rangle = k_j \langle \beta_j, \beta_j \rangle$

Proof by induction on  $|\lambda - \mu|$ .

Prop 2.3.8. [Linkage principal for KM.]

Let  $\lambda \in K^{w.g.}$

$[M(\lambda) : L(\mu)] > 0 \iff \exists \beta_1, \dots, \beta_p \in \Delta_{re}^+$  and  $s_{\beta_1}, \dots, s_{\beta_p} \in W(\lambda)$

a certain subgroup of  $W$    
 ↑   
 reflections

Such that

$\mu = (s_{\beta_1} \dots s_{\beta_p}) * \lambda < (s_{\beta_2} \dots s_{\beta_p}) * \lambda < \dots < (s_{\beta_{p-1}} s_{\beta_p}) * \lambda < s_{\beta_p} * \lambda < \lambda$



$$\Delta = \Delta_{re} \sqcup \Delta_{im}$$

set of roots

A root  $\beta$  is called real if  $\beta = w \cdot \alpha_i$  for some  $w \in W$ , simple root  $\alpha_i$ .  
not real  $\Leftrightarrow$  imaginary.

For  $\alpha \in \Delta_{im}^+ = \Delta^+ \cap \Delta_{im}$ , ( $\Delta_{re}^+ = \Delta^+ \cap \Delta_{re}$ )

define  $C_\alpha := \left\{ \lambda \in \mathfrak{h}^* \mid \langle \lambda + \rho, \alpha \rangle = \frac{\langle \alpha, \alpha \rangle}{2} \right\}$

and

$$C := \bigcup_{\alpha \in \Delta_{im}^+} C_\alpha$$

Define  $K^{w.g.} := \mathfrak{h}^* \setminus C$

$C$  and  $K^{w.g.}$  are stable under the  $*$  action of  $W$

$W(\mathcal{A})$ : the subgroup of  $W$  gen. by  $\{ s_\beta \mid \beta \in \Delta_{re}^+, (2+p)(\beta) \in \mathbb{Z} \}$