

# Chp 13

## §13.1 Affine Lie alg

Goal: define an affine Lie alg  $\tilde{\mathfrak{g}}$  from a simple Lie alg  $\mathfrak{g} := \mathfrak{g}(\dot{A})$

Let  $\mathcal{A} := \mathbb{C}[t^{\pm 1}]$ , denote the loop algebra of  $\mathfrak{g}$  by

$$\mathfrak{g}_{\mathcal{A}} = \mathcal{A} \otimes_{\mathbb{C}} \mathfrak{g} \quad \text{under Lie bracket } [t^a x, t^b y] = t^{a+b} [x, y]$$

$$\Rightarrow \text{central extn } \mathfrak{g}' := \mathfrak{g}_{\mathcal{A}} \oplus \mathbb{C}c \quad \text{s.t. } \begin{cases} [t^a x, t^b y] = t^{a+b} [x, y] + a \delta_{a,-b} (x, y) c \\ [f, c] = 0 \quad \forall f \in \mathfrak{g}' \end{cases}$$

$$\Rightarrow \text{adjoin derivation: } \tilde{\mathfrak{g}} := \mathfrak{g}' \oplus \mathbb{C}d \quad \text{s.t. } [d, t^a x] = a t^a \otimes x$$

(i.e.,  $d$  acts as  $t \frac{d}{dt}$ )

Cartan subalg  $\mathfrak{h} := \mathfrak{h} \oplus \mathbb{C}c \oplus \mathbb{C}d$  where  $\mathfrak{h} = \text{CSA}$  of  $\mathfrak{g}$

GCM  $A = (a_{ij})_{0 \leq i, j \in \mathcal{L}}$  is obtained from  $\begin{cases} \dot{A} = (a_{ij})_{1 \leq i, j \in \mathcal{L}} \\ a_{00} := 2, \quad a_{0j} = -\alpha_j(\theta^\vee) \\ \alpha_{j0} = -\theta(\alpha_j^\vee) \end{cases}$

where  $\theta = \text{highest root of } \mathfrak{g}$

Prop let  $\delta \in \mathfrak{h}^*$  be s.t.  $\delta(\mathfrak{h} \oplus \mathbb{C}c) = 0$  and  $\delta(d) = 1$ .

$$\pi = \{\alpha_1, \dots, \alpha_\ell, \alpha_0 := \delta - \theta\}, \quad \pi^\vee = \{\alpha_1^\vee, \dots, \alpha_\ell^\vee := c - \theta^\vee\}$$

Then  $(\mathfrak{h}, \pi, \pi^\vee)$  is a minimal realization of  $A$ .

Lem (wt sp decomp)

$$\tilde{\mathfrak{g}} = \mathfrak{h} \oplus \left( \bigoplus_{\alpha \in \Delta} \mathfrak{g}_\alpha \right) \quad \text{where } \begin{cases} \Delta_{im}^+ = \{j\delta \mid j \in \mathbb{Z}_{>0}\}, & \mathfrak{g}_{j\delta} = t^j \otimes \mathfrak{h} \\ \Delta_{re}^+ = \{\beta + j\delta \mid j \in \mathbb{Z}_{>0}, \beta \in \Delta\} \cup \{\beta \mid \beta \in \Delta^+\} & \mathfrak{g}_{\beta+j\delta} = t^j \otimes \mathfrak{g}_\beta \end{cases}$$

Thm  $\mathfrak{g}(A) \xrightarrow{\sim} \tilde{\mathfrak{g}}$

$$e_i \mapsto \begin{cases} e_i & \text{if } i > 0 \\ -t \otimes \tilde{\omega}(x_0) & \text{if } i = 0 \end{cases} \quad \text{where } \tilde{\omega}: \mathfrak{h} \leftrightarrow -\mathfrak{h} \text{ is the Cartan invln of } \mathfrak{g}$$

$$f_i \mapsto \begin{cases} f_i & \text{if } i > 0 \\ t^{-1} \otimes x_0 & \text{if } i = 0 \end{cases} \quad x_0 \in \mathfrak{g} \text{ s.t. } \langle x_0, \tilde{\omega} x_0 \rangle = -1$$

Prop ( $\Delta$ -decomp)

$$\tilde{\mathfrak{g}} = \tilde{\mathfrak{n}}^- \oplus \mathfrak{h} \oplus \tilde{\mathfrak{n}}^+ \quad \text{with}$$

$$\begin{aligned} \tilde{\mathfrak{n}}^- &= (t^{-1} \mathbb{C}[t^{-1}] \otimes \mathfrak{g}^-) \oplus \mathfrak{n}^- \\ \tilde{\mathfrak{n}}^+ &= (t \mathbb{C}[t] \otimes \mathfrak{g}^+) \oplus \mathfrak{n}^+ \end{aligned}$$

Defn (Affine Weyl grp)

Recall  $\dot{W} = \text{Weyl grp of } \mathfrak{g}$ ,  $\dot{Q}^\vee = \bigoplus_{i=1}^l \mathbb{Z} \alpha_i^\vee \subseteq \mathfrak{h}^*$ : coroot lattice

$$\dot{W} \curvearrowright \dot{Q}^\vee \text{ by } s_j \cdot h := h - \alpha_j(h) \alpha_j^\vee$$

Prop  $W \cong \dot{Q}^\vee \rtimes \dot{W}$  with  $\tau_a W \cdot \tau_b U := \tau_{a+w \cdot b} W U$   
(where  $a \in \dot{Q}^\vee$  corr.  $\tau_a \in \dot{Q}^\vee \times \dot{W}$ .)

$$s_i \mapsto \begin{cases} s_i & \text{if } i > 0 \\ \tau_{\theta^\vee} s_\theta & \text{if } i = 0 \text{ with } s_\theta(\lambda) = \lambda - \lambda(\theta^\vee) \theta \in \mathfrak{h}^* \end{cases}$$

$\langle \cdot, \cdot \rangle$  on  $\tilde{\mathfrak{g}}$  is given by

$$\langle t^a \otimes x, t^b \otimes y \rangle = \delta_{a,-b} \langle x, y \rangle$$

$$\langle \mathbb{C}c + \mathbb{C}d, \mathfrak{g}_{\mathcal{A}} \rangle = \langle c, c \rangle = \langle d, d \rangle = 0, \quad \langle c, d \rangle = 1$$

This induces a sym. bilinear form  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{h}^* = \mathfrak{h}^* \oplus \mathbb{C}\delta \oplus \mathbb{C}\tilde{\omega}_0$ , given by

$$\langle \mathbb{C}\tilde{\omega}_0 + \mathbb{C}\delta, \mathfrak{h}^* \rangle = \langle \tilde{\omega}_0, \tilde{\omega}_0 \rangle = \langle \delta, \delta \rangle = 0, \quad \langle \delta, \tilde{\omega}_0 \rangle = 1$$

Here  $\tilde{\omega}_0 \in \mathfrak{h}^*$  is defined s.t.  $\tilde{\omega}_0(\mathfrak{h} \oplus \mathbb{C}d) = 0$ ,  $\tilde{\omega}_0(c) = 1$ .

§ 13.2 Affine Kac-Moody Groups

Goal: construct affine Kac-Moody group  $\tilde{L}$  from an alg grp  $\mathring{G}$  s.t.  $\text{Lie}(\mathring{G})$  is a simple Lie alg.

Defn For a comm.  $\mathbb{C}$ -alg  $R$ , denote the set of  $R$ -rational pts by

$$\mathring{G}(R) := \{ \mathbb{C}\text{-alg hom } \mathbb{C}[\mathring{G}] \xrightarrow{\text{regular funcs}} R \}$$

In particular, if  $\mathring{G} \hookrightarrow \text{SL}_n(\mathbb{C})$  w/ defining ideal  $I \triangleq \mathbb{C}[\text{SL}_n(\mathbb{C})]$ , then

$$\mathring{G}(R) = \{ g = (g_{ij}) \in \text{SL}_n(R) \mid p(g_{ij}) = 0 \ \forall p \in I \} \subseteq \text{SL}_n(R)$$

Let  $\mathcal{A} := \mathbb{C}[[t^{\pm 1}]]$ ,  $\mathcal{O} := \mathbb{C}[[t]]$ ,  $\mathcal{K} := \mathbb{C}((t)) = \mathcal{O}[[t^{-1}]]$   
 Laurent polyn      formal pwr series      Laurent series

(loop grp) Denote the loop group of  $\mathring{G}$  by  $\mathring{G}(K)$

$\Rightarrow$  adjoining "Exp d" by  $\tilde{L} := \mathring{G}(K) \rtimes \mathbb{C}^x$ ,

where  $\mathbb{C}^x \curvearrowright \mathring{G}(K)$  by  $\gamma(z): \mathring{G}(K) \rightarrow \mathring{G}(K)$ ,  
 $g_{ij}(t) \mapsto g_{ij}(zt)$

mult'n in  $\tilde{L}$  given by  $(g, z) \cdot (g', z') = (g\gamma(z)(g'), zz')$

$\Delta$  Abbrev  $(1, z) := d_z \in \tilde{L}$

(Affine Weyl) Next, choose  $\mathring{J}_{\mathbb{Z}} := \mathring{Q}^{\vee} = \bigoplus_{i=1}^l \mathbb{Z}\alpha_i^{\vee}$ ,  $\mathring{J}_{\mathbb{Z}}^* = \text{Hom}_{\mathbb{Z}}(\mathring{Q}^{\vee}, \mathbb{Z})$

$$\Rightarrow \mathring{T} := \text{Hom}_{\mathbb{Z}}(\mathring{J}_{\mathbb{Z}}^*, \mathbb{C}^x) \subseteq \mathring{G}$$

$$\Rightarrow \text{std max'l torus } \bar{T} := \mathring{T} \times \mathbb{C}^x \subseteq \tilde{L}$$

$$\Delta \bar{T} \cong \text{Hom}_{\mathbb{Z}}(\bar{J}_{\mathbb{Z}}^*, \mathbb{C}^x) \text{ where } \bar{J}_{\mathbb{Z}}^* := \mathring{Q}^{\vee} \oplus \mathbb{Z}d$$

Define, for  $h \in \mathring{Q}^{\vee}$ , its cocharacter  $t^h: \mathbb{C}^x \rightarrow \mathring{T} \subseteq \mathring{G}$   
 $z \mapsto z^h, \lambda \mapsto z^{\lambda(h)}$

$\Rightarrow \mathbb{C}$ -alg hom  $\mathbb{C}[\mathring{G}] \rightarrow \mathcal{A} \subseteq K$   
 -, b/c  $\mathbb{C}^x = \text{GL}_1(\mathbb{C}) \subseteq \mathbb{A}^2 \rightsquigarrow \mathbb{C}(\mathbb{C}^x) = \mathbb{C}[x, y]/(xy-1) \cong \mathcal{A}$

$\Rightarrow t^h$  is considered as an elt in  $\mathring{G}(K)$  (hence in  $\tilde{L}$ )

Defn Let  $\bar{N} := \langle d_z, N_{\mathring{G}}(\mathring{T}), t^h \mid z \in \mathbb{C}^x, h \in \mathring{Q}^{\vee} \rangle \subseteq \tilde{L}$

Fact  $\bar{N}/\bar{T} \cong \mathring{Q}^{\vee} \rtimes \mathring{W}$  as groups

Thm  $\exists \hat{\Psi}: \tilde{L} \rightarrow \bar{\mathcal{L}}$  with  $\ker \hat{\Psi} = \mathbb{C}^x = \text{Exp}(\mathbb{C}c)$ . In other words,

$$\exists \text{ SES } 1 \rightarrow \mathbb{C}^x \rightarrow \tilde{L} \rightarrow \bar{\mathcal{L}} \rightarrow 1, \text{ or,}$$

KM grp  $G$  is realized as a central ext'n by  $\text{Exp}(\mathbb{C}c)$  of

$$\bar{\mathcal{L}} = \mathring{G}(K) \rtimes \mathbb{C}^x : \text{loop grp extended by } \text{Exp}(\mathbb{C}d)$$

Recall  $\hat{\mathfrak{g}} := \mathring{\mathfrak{g}}_K \oplus \mathbb{C}c \oplus \mathbb{C}d$ . Consider its completion

$$\hat{\mathfrak{g}} := \mathring{\mathfrak{g}}_K \oplus \mathbb{C}c \oplus \mathbb{C}d (= \hat{\mathfrak{n}} \oplus \mathfrak{h} \oplus \hat{\mathfrak{n}} \text{ in the language of §6})$$

Denote the adjoint repr of  $\tilde{L}$  in  $\hat{\mathfrak{g}}$  by

$$\begin{aligned} \text{Ad}: \tilde{L} &\rightarrow \text{Aut}(\hat{\mathfrak{g}}), & \mathring{g} &\mapsto \text{Ad}(\mathring{g}): x \mapsto \text{Ad}_K(\mathring{g})(x) + \mathbb{C}c \\ \mathring{g} &\in \mathring{G}(K) & c &\mapsto c \\ d &\mapsto t \frac{d\mathring{g}}{dt} \mathring{g}^{-1} + \mathbb{C}c + d \\ d_z &\mapsto \text{Ad}(d_z): x \mapsto \gamma(z)(x) \\ c &\mapsto c \\ d &\mapsto d \end{aligned}$$

$\text{Ad}: \mathring{G} \rightarrow \text{Aut}(\mathring{\mathfrak{g}})$   
 induces  
 $\text{Ad}_K: \mathring{G}(K) \rightarrow \text{Aut}(\mathring{\mathfrak{g}}_K)$

Recall that  $\text{PGL}(V) := \text{GL}(V)/\mathbb{C}^x \cong \text{End}(V)$  via adjoint action Ad

Lem (a) If  $\lambda \in D_{\mathbb{Z}}$  then  $\lambda: \hat{\mathfrak{g}} \rightarrow \text{End}(L(\lambda))$  extends uniquely to  
 $\hat{\pi}: \tilde{L} \rightarrow \text{PGL}(L(\lambda))$  s.t., for  $g \in \tilde{L}$ ,

$$\begin{array}{ccc} \hat{\mathfrak{g}} & \xrightarrow{\bar{\pi}} & \text{End}(L(\lambda)) \\ \text{Ad} \mathring{g} \downarrow & \curvearrowright & \downarrow \text{Ad} \hat{\pi}(\mathring{g}) \\ \hat{\mathfrak{g}} & \xrightarrow{\pi} & \text{End}(L(\lambda)) \end{array} \text{ where } \bar{\pi} \text{ is the obvious ext'n.}$$

(b)  $\ker \hat{\pi} = \mathbb{Z}(\bar{L})$

(c)  $\exists!$  grp from  $\Psi: G \rightarrow \bar{\mathcal{L}}/Z(\bar{\mathcal{L}})$  s.t. the diag below  $\Leftrightarrow \forall \lambda \in \mathbb{P}_{\mathbb{Z}}$ :

$$\begin{array}{ccc} G & \xrightarrow{\pi} & GL(L(\lambda)) \\ \Psi \downarrow & \curvearrowright & \downarrow \\ \bar{\mathcal{L}}/Z(\bar{\mathcal{L}}) & \xrightarrow{\cong} & PGL(L(\lambda)) \end{array}$$

Moreover,  $\Psi$  is surjective and  $\text{Ker } \Psi = Z(G)$

$$\xrightarrow{\text{lift}} \hat{\Psi}: G \twoheadrightarrow \bar{\mathcal{L}} \text{ with } \text{ker } \hat{\Psi} = \mathbb{C}^\times$$

Cor  $\Psi(G^{\text{min}}) = (\hat{G}(\mathcal{A}) \times \mathbb{C}^\times) / Z(\bar{\mathcal{L}})$

(Affine flag var)

Recall  $\mathcal{A} := \mathbb{C}[t^{\pm 1}]$ ,  $\mathcal{O} := \mathbb{C}[[t]]$ ,  $K := \mathbb{C}((t))$

Consider the evaluation map  $e: \hat{G}(\mathcal{O}) \times \mathbb{C}^\times \rightarrow \hat{G} \times \mathbb{C}^\times$   
 $(g, z) \mapsto (g(\mathcal{O}), z)$

$\Rightarrow$  std parahoric subgroup  $\bar{P}_T := e^{-1}(\hat{P}_T \times \mathbb{C}^\times) \subseteq \bar{\mathcal{L}}$

Iwahori subgroup  $\bar{B} := e^{-1}(\hat{B}_\varphi \times \mathbb{C}^\times)$

Prop (a) (Bruhat decomp)  $\bar{\mathcal{L}} = \bigoplus_{\bar{n} \in \bar{N}/\bar{T}} \bar{B} \bar{n} \bar{B}$

(b)  $\Psi$  induces a bijection  $G/P_T \xrightarrow{1:1} \bar{\mathcal{L}}/\bar{P}_T$ ,

(c) If  $\Upsilon = \{1, \dots, l\} \subseteq \{0, \dots, l\}$  then  $\bar{P}_\Upsilon = \hat{G}(\mathcal{O}) \times \mathbb{C}^\times$ , and  
 $\chi^\Upsilon := G/P_\Upsilon \cong \hat{G}(K)/\hat{G}(\mathcal{O})$  set theoretically.  
 affine Grassmannian.

(Lattice realization, for  $SL_n(\mathbb{C})/P_T$ )

Defn Let  $V = \mathbb{C}^n$ . A lattice of in  $V$  is a free  $\mathcal{O}$ -submod.

$L \subseteq K \otimes_{\mathbb{C}} V$  of rank  $n$ .

Fix a basis  $\{e_1, \dots, e_n\}$  of  $V$ . Setting  $e_{n+i} = t^{-1}e_i$  gives a total order

$t e_1 < \dots < t e_n < e_1 < \dots < e_n < t^{-1} e_1 < \dots$

Set  $L_0 = \mathcal{O} \otimes V = \bigoplus_{i=1}^n \mathcal{O} e_i$ , which is a lattice in  $V$ .

$\mathcal{F}_d := \{ \text{lattice } L \text{ in } V \mid t^d L_0 \subseteq L \subseteq t^{-d} L_0, \dim_{\mathbb{C}}(L/t^d L_0) = 2nd \} \ni L_0$

Prop (a)  $\mathcal{F}_d \xrightarrow{1:1} \text{Gr}(nd, 2nd)^{1+t} \cong \{ V \xrightarrow{nd} t^d L_0 / t^d L_0 \mid V + tV = V \}$   
 $L \mapsto L/t^d L_0$

(b)  $\mathcal{F} := \bigcup_{d \geq 0} \mathcal{F}_d$  is a proj ind-var using the struc from  $\bigcup_{d \geq 0} \text{Gr}$

(c)  $SL_n(K)/SL_n(\mathcal{O}) \xrightarrow{1:1} \mathcal{F}$  and hence  $\chi^\Upsilon$  has a ind-var struc  
 $g SL_n(\mathcal{O}) \mapsto g L_0$

Moreover, this coincides w/ the ind-var struc defined in §7

Remark This approach generalizes to any  $\hat{G} \hookrightarrow SL_n(\mathbb{C})$

Example (a) The (complete) affine flags of type A are realized by

$GL_n(K)/B \xrightarrow{1:1} \mathcal{Y}_n^a := \left\{ \begin{array}{l} \text{lattice chain} \\ L_\bullet = (\dots \subseteq L_i \subseteq L_{i+1} \subseteq \dots) \end{array} \mid \dim_{\mathbb{C}} L_{i+1}/L_i = 1 \right\}$   
 $L_{i-n} = t L_i \text{ in } \mathbb{C}^n$

while affine partial flags are realized by

$GL_n(K)/P_T \xrightarrow{1:1} \mathcal{X}_{n,d}^a := \{ L_\bullet \text{ in } \mathbb{C}^n \mid L_{i-d} \equiv t L_i \}$

(b)  $Sp_{2n}(K)/B \xrightarrow{1:1} \mathcal{Y}_{2n}^s := \{ \text{symplectic } L_\bullet \in \mathcal{Y}_{2n}^a \mid L_i^\# = L_{-i} \}$   
 where  $L^\# := \{ v \in V \mid (v, L) \in \mathcal{O} \}$  (symplectic form)

$Sp_{2n}(K)/P_T \xrightarrow{1:1} \mathcal{X}_{2n,2d}^s := \{ \text{symplectic } L_\bullet \text{ in } \mathbb{C}^{2n} \mid L_i^\# = L_{-i}, L_{i-2d} \equiv t L_i \}$

Fact Affine Hecke alg  $\cong$  convolution alg  $\{ \hat{G} \setminus (Y \times Y) \rightarrow \mathcal{A} \}$   
 Affine Schur alg  $\cong$  " "  $\{ \hat{G} \setminus (X \times X) \rightarrow \mathcal{A} \}$