

# Learning seminar on R-matrices

## I. R-matrices from quantum group theory

### II. [Manlik - Okounkov]

Geom approach to R-matrices using symplectic geometry "stable envelope"

### III. [Kang - Kashiwara - Kim - Oh]

## I.1 (par. indep.) Yang-Baxter Eqn

Defn An R-matrix is a soln

$$R \in \text{End}(V \otimes V), \quad V: \text{vec space}$$

of the following YBE:

$$\begin{array}{c}
 \text{---} R \text{---} \\
 \text{---} R \text{---} \\
 \text{---} \text{id} \text{---} \\
 \text{---} R \text{---} \\
 \text{---} R \text{---} \\
 \text{---} \text{id} \text{---}
 \end{array}
 = R_{23} R_{13} R_{12}$$

eg (1)  $R = \text{id}$

(2)  $R = P: V \otimes V \rightarrow V \otimes V$   
 $a \otimes b \mapsto b \otimes a$

If  $\dim V = 2$  then  $R \sim \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

(2a)  $V \hookrightarrow$  Hecke alg  $\mathcal{H}_q(\Sigma_2) = \frac{\langle T \rangle}{T^2 - (q+1)T - q}$

via  $V_i \otimes V_j \cdot T = q V_i \otimes V_j$

$$V_i \otimes V_j \cdot T = \begin{cases} V_j \otimes V_i & \text{if } i < j \\ (q-1)V_i \otimes V_j + q V_j \otimes V_i & \text{if } i > j \end{cases}$$

$$R \sim \begin{pmatrix} q & 0 \\ 0 & 1 \end{pmatrix}$$

## I.2 (par. dep.) YBE

$$R_{12}(u_1, u_2) R_{23}(u_2, u_3) R_{13}(u_1, u_3) \in A^{\otimes 3}$$

$$= R_{23}(\dots) R_{13}(\dots) R_{12}(\dots)$$

for all  $u_i \in \mathbb{C}$ ,

where  $R(u, u') \in A \otimes A$  for assoc. alg  $A / \mathbb{C}$   
Rak Assuming difference prop:  $R(u, u') = R(u - u')$

$$\Leftrightarrow R_{12}(x) R_{13}(x+y) R_{23}(y) = \dots$$

$$\stackrel{\log}{\Leftrightarrow} R_{12}(z) R_{13}(zw) R_{23}(w) = \dots$$

## eg (3) YBE over formal Laurent series

$$R(z) = \begin{pmatrix} 1 & \frac{q(z^2-1)}{z-1} & \frac{q^2-1}{z-1} \\ zq^2 & \text{---} & \text{---} \\ \text{---} & \text{---} & 1 \end{pmatrix} \in A^{\otimes 2}(\mathbb{Z})$$

$A = \text{End}(V)$

"the R-matrix for (2-dim) fund repn"

$V = V_1(1)$  of quantum affine  $sl_2$

## I.3 Drinfeld-Jimbo Quantum Groups

Lie Algebra  $\xrightarrow{\text{quantiz}}$  Hopf algebra

$\mathfrak{g}$ : simple Lie alg

$U_q(\mathfrak{g})$ : QG

$\mathfrak{g}[t]$ : loop alg

$Y(\mathfrak{g})$ : Yangian

$\hat{\mathfrak{g}} = \mathfrak{g}[t^{\pm 1}] \oplus \mathbb{C}c$

affine Lie alg

$U_q(\hat{\mathfrak{g}})$ : quantum affine alg

alg

Drinfeld-Jimbo presentation:

$$\hat{\mathfrak{g}} = \langle e_i, f_i, h_i \rangle \rightsquigarrow U_q(\hat{\mathfrak{g}})^{DJ} := \langle E_i, F_i, K_i^{\pm 1} \rangle$$

Cartan rel'n                      q-Cartan rel'n  
 Serre rel'n                        q-Serre rel'n

RTT presentation via "FRT formalism"

R-matrix  $R \in \text{End}(V \otimes V)$

$\rightsquigarrow$  "coord alg"  $C := \langle t_{ij} \mid 1 \leq i, j \leq n \rangle$

$$R_{12} T_{13} T_{23} = T_{23} T_{13} R_{12}$$

$\rightsquigarrow$  FRT QG

$$U(R) = \langle R_{ij}^{\pm}, t_{ij} \rangle \subset \mathbb{C}^*$$

for some  $R_{ij}^{\pm} \in \mathbb{C}^*$  described via  $R^{\pm} = PRP$

eg: (1)  $R = \text{id}$

$\rightsquigarrow$  RTT rel'n  $\Leftrightarrow$   $t_{ij}$  comm w/  $t_{xy}$

$\rightsquigarrow C(R) = \mathbb{C}[t_{ij}]$   
 coord alg of  $GL_n(\mathbb{C})$

$$U(R) \cong U(\mathfrak{gl}_n)$$

eg (2)  $R = DJ$  R-matrix for  $U_q(\mathfrak{sl}_n)$

$\rightarrow C(R) =$  Parshad-Wang's coord alg

$\rightarrow U(R) = \langle R_{ij}^{\pm} \mid |i,j| \leq n \rangle \cong U_q(\mathfrak{sl}_n)^{DJ}$

$$R_{12} L_{13}^{\pm} L_{23}^{\pm} = \dots$$

$$R_{12} L_{13}^{\pm} L_{23}^{\pm} = \dots$$

$$L_{ij}^{\pm} = 0 = L_{ji}^{\pm} \text{ if } |i| > |j|$$

$$L_{ii}^{\pm} L_{ii}^{\pm} = 1 = L_{ii}^{\pm} L_{ii}^{\pm}$$

works for other (affine) types

Remark  $U = U_q(\hat{\mathfrak{g}})$  has a third presentation due to Drinfeld:

$$U^{Dr} = \left\langle \begin{array}{l} e_i(k) \\ f_i(k), K_i^{\pm 1}, a_i(r) \end{array} \mid \begin{array}{l} i \in I \\ k \in \mathbb{Z} \\ r \in \mathbb{Z} \setminus \{0\} \end{array} \right\rangle$$

a mess

$\Delta$  Upshot: a natural  $\mathbb{Z}$ -grading from

$$\tau_a \in \text{Aut } U \quad (a \in \mathbb{C}^{\times})$$

$$g \mapsto a^m g \text{ if } g \text{ is homogeneous of deg } m$$

Thm [Dr]  $\leftarrow$  called universal R-matrix

$\exists$  inv.  $R \in (U \hat{\otimes} U)(\mathbb{C}((z)))$  s.t.

(i)  $R$  is an R-matrix.

(ii) For (fd) rep  $\rho_V: U \rightarrow \text{End}(V)$ ,  $\rho_W: U \rightarrow \text{End}(W)$

$$(a) R_{V,W} := (\rho_V \otimes \rho_W)(U)$$

$\exists P, R_{V,W}$  is an R-matrix is invertible

(b)  $\check{R}_{V,W} := P \circ R_{V,W}$  is a U-mod from

### I.4 Normalized R-matrix

Prop (Rationality property)

Let  $V, W \in U\text{-fdmod}$ .  $A := \text{End}(V \otimes W)$ .

Then  $\exists!$  formal Laurent series  $f_{V,W} \in \mathbb{C}((z))$  s.t.

$$f_{V,W} R_{V,W} \in A(z) \text{ is rational.}$$

$\rightarrow$   $fR$  has a pole at 1 of order  $r \geq 0$

### Defn Normalized R-matrix

$$R_{V,W}^{\text{norm}} := \lim_{z \rightarrow 1} (z-1)^r f_{V,W} R_{V,W}$$

Prop  $\check{R}_{V,W}^{\text{norm}}: V \otimes W \rightarrow W \otimes V$

is a nonzero U-mod from

eg. (ant<sup>r</sup>) (3)

$$R_{V,W}^{\text{norm}} = \begin{pmatrix} 0 & q^{-1} - q & q^2 - 1 & \\ q^2 & & & \\ & & & \\ & & & 0 \end{pmatrix} \text{ not inv.}$$

### II.1 Attracting sets

Consider  $(\mathbb{C}^*)^{r+n} \cong T \curvearrowright X$  (quasiproj, sm symp var)

$U$

$$(\mathbb{C}^*)^r \trianglelefteq A$$

$$c(A) := \{A \rightarrow \mathbb{C}^*\} \text{ char of } A$$

$$t(A) := \{\mathbb{C}^* \rightarrow A\} \text{ cochar of } A$$

Assume normal fiber bundle of  $X^A$  has a decompn indexed by  $\Delta \subseteq c(A)$

Define  $U_{\mathbb{R}} := t(A) \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathbb{R}^r$

$\rightarrow$  chamber decompn  $U_{\mathbb{R}} \bigcup_{\alpha \in \Delta} H_{\alpha} = \bigcup_i C_i$

$\rightarrow$  attracting set  $X_C(z) := \{x \in X \mid \lim_{c \in \mathbb{Z}} x \in C\}$   
for connected component  $z \in X^A$

$\rightarrow$  full attracting set  $X_C^f(z) = \bigcup_Y X_C(Y)$   
over all  $Y \cap \overline{X_C(z)} \neq \emptyset$

eg.  $X = T^*P^1 \curvearrowright T = A \times \mathbb{C}^*$

$$U = \mathbb{C}^*$$

$\rightarrow X^A = \{P_0, P_1\}$  where  $P_0 = [1:0]$ ,  $P_1 = [0:1]$

$\Delta = \{\pm \alpha\}$ , two chambers  $C_+, C_-$

$\rightarrow X_{C_+}^f(\{P_1\}) = X_{C_+}(\{P_1\}) \cup X_{C_-}(\{P_0\})$   
 $P^1 \setminus \{P_0\} \quad T_P^*(P^1)$

## II.2 Stable Envelopes

Fix a chamber  $C$  (and polarization) Sign

### Thm [MO]

Let  $Z \subseteq X^A$ : conn. comp.,  $\gamma \in HVA(Z)$ .

$\exists!$   $H_T^0(\rho_C)$ -mod from  $Stab_C \cdot H_T^0(X^A) \rightarrow H_T^0(X)$  st.

(i)  $Supp(P) \subseteq X_C^f(Z)$  where  $P = stab_C(\gamma)$

(ii) + (iii) —

Rank  $Stab_C$  becomes an iso after scalar ext'n

$$Stab_{C^+} : [P_0] \mapsto u-c \sim \begin{pmatrix} -u & -h \\ 0 & u-h \end{pmatrix}$$

$$[P_1] \mapsto -c-h$$

$$Stab_{C^-} \sim \begin{pmatrix} -u-h & 0 \\ -h & u \end{pmatrix}$$

$$R_{C^+, C^-} = \frac{1}{u+h} \begin{pmatrix} u & h \\ h & u \end{pmatrix} \text{ Yang's R-mat.}$$

Define geom. R-matrix

$$R_{C^+, C^-} := Stab_{C^+}^{-1} \circ Stab_{C^-} \in \text{End}(H_T^0(X^A))$$

eg (cont)

$$H_T^0(X^A) = \mathbb{C}[u, h][P_0] \oplus \mathbb{C}[u, h][P_1] \leftrightarrow H_T^0(X) = \mathbb{C}[u, h] \oplus \mathbb{C}[u, h]c$$

## II.3 Quiver Varieties

Fix quiver  $Q = (I, E)$

eg  $Q = \begin{array}{c} \circ \\ \downarrow \\ \circ \end{array}$

$\Downarrow$

Framed double quiver  $\bar{Q}$

$$\bar{Q} = \begin{array}{c} \circ \\ \downarrow \\ \circ \\ \downarrow \\ \circ \end{array}$$

Choose

$$W = (w), V = (v)$$

Choose dimension vectors

$$v = (v_i)_i, w = (w_i)_i$$

$$\text{Rep}(\bar{Q}) \cong \begin{array}{c} \mathbb{C} \\ \downarrow \\ \mathbb{C}^2 \\ \downarrow \\ \mathbb{C} \end{array}$$

## Nakajima's QV

$$\mathcal{M}(w, v) := \text{Rep}(\bar{Q}) / \text{adm + stab rel's}$$

$$\hookrightarrow G := \prod_{i \in I} GL(w_i)$$

U: maximal torus

$$\mathcal{M}(w, v) \xrightarrow{\text{prop}} T := A \times \mathbb{C}^x$$

## II.4 MO's Quantum Groups

$$\text{Let } X = \mathcal{M}(w) := \prod_v \mathcal{M}(w, v)$$

$$\leadsto X^A = \prod_i \mathcal{M}(e_i)^{\times w_i}$$

where  $e_i \in \mathbb{N}^2$  std basis

$$\leadsto H_T^0(X^A) = \bigotimes_i H_i^{\otimes w_i} \text{ where } H_i \in H_T(\mathcal{M}(e_i))$$

Next, set

$w = e_i + e_j$  w/ cochar  $a_i, a_j$  of  $A \cong (\mathbb{C}^*)^2$

stable envelope  $R_{ij}(a) \in \text{Ind}(H_i \otimes H_j) \oplus \mathbb{C}(a)$

for  $a = a_j - a_i \in \mathfrak{u}_{\mathbb{R}}$

Thm [MO] YBE holds for  $R_{ij}(a)$ .

Defn MO's quantum grp is the dupl alg

$$Y_Q := \langle \text{coeff of certain R-matrices} \rangle \in \prod \text{End}(\sim)$$

Rank (1) If  $Q = D_{2n}(\gamma)$ : finite

then  $Y_Q = Y(\gamma)$

(2) RTT rel'n holds in  $\prod \text{End}$  (there're more rel's)

(3)  $Y_Q \cong H_i$  appeared earlier in the work of Nakajima,  $V, VV$

(4)  $\check{R}_{ij}$  are  $Y_Q$ -mod from

### III.1 Categorification

Idea:

Given algebra  $A$  | caty  $C$  to be const'd

	$A \cong$	$K(C)$ Groth ring
mult	m.n	$M \otimes N$
bilinear form		graded Hom
std basis		simple objects
canon.		proj indec

Upshot:

positivity results on  $A$  can be proved via  $C$

eg.  $\mathfrak{g}$ : Lie alg of sym'ble GCM

$$A = \bigcup_q^+ \mathfrak{g}(q), \quad C = \bigoplus_{\nu \in \mathbb{N}^2} \text{Proj}(R_\nu)$$

$$= \langle E_i \rangle_{i \in I} \quad \text{where } R_\nu = \text{KLR alg}$$

(or quiver Hecke alg)

in which  $\left\{ \begin{array}{l} \text{braid/qual helix governed by par } (a_{ij}) \\ \text{cross helix} \end{array} \right.$   $\nearrow$  idempotent Hecke alg  $\rightarrow$  nil Hecke alg

### III.2 Cluster Algebras

Fix a quiver  $Q=(I,E)$  define a cluster alg

$$A(Q) \subseteq \mathbb{Q}(X_i | i \in I) \text{ frac field}$$

using the following recipe:

• A cluster is a tuple  $((z_i)_i, Q')$

$\uparrow$  in  $Q(X_i)$ :  $\uparrow$  quiver

•  $((z_i), Q')$   $\xrightarrow{\text{mutated at } i}$  new cluster

$A(Q) := \left\langle \begin{array}{l} \text{all } z_i \text{ from any cluster} \\ \text{that can be mutated iteratively} \\ \text{from } (X_i)_i, Q \end{array} \right\rangle$

eg.  $Q = 3 \rightarrow 1 \rightarrow 2 \quad (X_1, X_2, X_3, Q)$   
 $\exists$  two clusters  $(X'_1, X'_2, X'_3, Q')$   
 $X'_1 \parallel X_1, X'_2 \parallel X_2, X'_3 \parallel X_3$

$$\rightarrow A(Q) = \langle X_1, X'_1, X_2, X_3 \rangle$$

$$X'_1 X_1 - X_2 - X_3$$

Defn  $C$  is a cat'n of cluster alg  $A$  if

$$A \xrightarrow{\sim} K(C)$$

cluster monomial  $\mapsto$  real simple  $[M]$   
 (i.e. both  $M$  &  $M \otimes M$  are simple)

Fact

Let  $N = \text{max unipotent subgroup} \subseteq G$   
 and  $\mathbb{C}[N]$  its coordinate ring

Then  $\mathbb{C}[N]$  is a cluster alg

Thm [KKKO]

$\mathbb{C}[N]$  has a cat'n.

eg.  $G = SL_3, \quad C_N = \mathcal{U}_q(\mathfrak{sl}_3) \text{ mod } \mathfrak{u}$   
 $N = \left\{ \begin{pmatrix} 1 & x & y \\ & 1 & z \\ & & 1 \end{pmatrix} \right\}$

$$\mathbb{C}[N] = \mathbb{C}[x, y, z] \simeq K(C_N) = \langle [V_1(1)], [V_1(q^2)], [V_2(q)] \rangle$$

$$xy - z \mapsto [W] = [V_1(1)][V_1(q^2)] - [V_2(q)]$$

$\uparrow$  Kirillov-Reshetikhin mod

which arise from the SES  $V_1(q^2) \otimes V_1(1)$

$$0 \rightarrow W \rightarrow V_1(1) \otimes V_1(q^2) \rightarrow V_2(q^2) \rightarrow 0$$

assoc. to  $R^{\text{norm}}$   
 $V_1(1), V_1(q^2)$

KKKO: mutation relation is cat'fied  
 by SES assoc. to norm. R-mod.

$\leadsto$  Fomin-Zelevinski ans

cluster monomials  $M \in \mathbb{C}[N]$  are dual CB elts