

Lie group

Definition. A collection of elements G together with a binary operation, called \cdot , is a group if it satisfies the axiom:

- (1) **Associativity:** $x \cdot (y \cdot z) = (x \cdot y) \cdot z$, if x, y and Z are in G .
- (2) **Right identity:** G contains an element e such that, $\forall x \in G, x \cdot e = x$.
- (3) **Right inverse:** $\forall x \in G, \exists$ an element called x^{-1} , also in G , for which $x \cdot x^{-1} = e$.

Definition. A group is abelian (commutative) if in addition

$$x \cdot y = y \cdot x, \quad \forall x, y \in G.$$

Definition. A **topological group** is a topological space with a group structure such that the multiplication and inversion maps are continuous.

Definition. A **Lie group** is a smooth manifold G that is also a group in the algebraic sense, with the property that the multiplication map $m : G \times G \rightarrow G$ and inversion map $i : G \rightarrow G$, given by

$$m(g, h) = gh, \quad i(g) = g^{-1},$$

are both smooth.

- A Lie group is, in particular, a topological group.
- It is traditional to denote the identity element of an arbitrary Lie group by the symbol e .

Example 2.7 (Lie Groups).

- (a) The **general linear group** $\text{GL}(n, \mathbb{R})$ is the set of invertible $n \times n$ matrices with real entries.
 - It is a group under matrix multiplication, and it is an open submanifold of the vector space $M(n, \mathbb{R})$.
 - Multiplication is smooth because the matrix entries of a product matrix AB are polynomials in the entries of A and B .
 - Inversion is smooth because Cramer's rule expresses the entries of A^{-1} as rational functions of the entries of A .
- (b) The **complex general linear group** $\text{GL}(n, \mathbb{C})$ is the set of invertible $n \times n$ matrices with complex entries.
 - It is a group under matrix multiplication, and it is an open submanifold of the vector space $M(n, \mathbb{C})$.
 - Multiplication and inversion are smooth functions of the real and imaginary parts of the entries of A and B .
- (c) If V is any real or complex vector space, we let $\text{GL}(V)$ denote the set of invertible linear transformations from V to itself.
 - It is a group under composition.

Typeset by $\mathcal{A}\mathcal{M}\mathcal{S}\text{-}\mathcal{T}\mathcal{E}\mathcal{X}$

- If V is finite-dimensional, any basis for V determines an isomorphism of $\text{GV}(V)$ with $\text{GL}(n, \mathbb{R})$ or $\text{GL}(n, \mathbb{C})$, with $n = \dim V$, so $\text{GL}(V)$ is a group.
 - The transition map between any two such isomorphisms is given by a map of the form $A \mapsto BAB^{-1}$ (where B is the transition matrix between the two bases), which is smooth. Thus the smooth manifold structure on $\text{GL}(V)$ is independent of the choice of basis.
- (d) The real number field \mathbb{R} and Euclidean space \mathbb{R}^n are Lie groups under addition because the coordinates of $x - y$ are smooth (linear) functions of (x, y) .
- (e) The set \mathbb{R}^* of nonzero real numbers is a 1-dimensional Lie group under multiplication. (In fact, it is exactly $\text{GL}(1, \mathbb{R})$ if we identify a 1×1 matrix with the corresponding real number).
- The subset \mathbb{R}^+ of positive real numbers is an open subgroup, and is thus itself a 1-dimensional Lie group.
- (f) The set \mathbb{C}^* of nonzero complex numbers is a 2-dimensional Lie group under complex multiplication, which can be identified with $\text{GL}(1, \mathbb{C})$.
- (g) On a subset U of the circle $\mathbb{S}^1 \subset \mathbb{C}^*$, an angle function $\theta : U \rightarrow \mathbb{R}$ is a continuous function such that $e^{i\theta(p)} = p, \forall p \in U$. For any such angle function θ , \mathbb{S}^1 is a smooth manifold with smooth coordinate charts (U, θ) .
- $\mathbb{S}^1 \subset \mathbb{C}^*$ is a group under complex multiplication.
 - With appropriate angle functions as local coordinates on open subsets of \mathbb{S}^1 , multiplication and inversion have the smooth coordinate expressions

$$(\theta_1, \theta_2) \mapsto \theta_1 + \theta_2 \quad \text{and} \quad \theta \mapsto -\theta,$$

and therefore \mathbb{S}^1 is a Lie group, called the **circle group**.

- (h) If G_1, \dots, G_k are Lie groups, their **direct product** is the product manifold $G_1 \times \dots \times G_k$ with the group structure given by componentwise multiplication:

$$(g_1, \dots, g_k)(g'_1, \dots, g'_k) = (g_1g'_1, \dots, g_kg'_k).$$

It is a Lie group, as you can easily check.

- (i) The n -torus $\mathbb{T}^n = \mathbb{S}^1 \times \dots \times \mathbb{S}^1$ is an n -dimensional abelian Lie group.
- (j) Any finite or countably infinite group with the discrete topology is a zero-dimensional Lie group.
- We will call any such group a **discrete group**.

Definition. If G and H are Lie groups, a **Lie group homomorphism** from G to H is smooth map $F : G \rightarrow H$ that is also a group homomorphism.

- It is called a **Lie group isomorphism** if it is also a diffeomorphism, (which implies that it has an inverse that is also a Lie group homomorphism). In this case we say that G and H are **isomorphic Lie groups**.

Example 2.8 (Lie Group Homomorphisms).

- (a) The inclusion map $\mathbb{S}^1 \hookrightarrow \mathbb{C}^*$ is a Lie group homomorphism.
- (b) The map $\exp : \mathbb{R} \rightarrow \mathbb{R}^*$ given by $\exp(t) = e^t$ is smooth, and is a Lie group homomorphism because $e^{(s+t)} = e^s e^t$.
(Note that \mathbb{R} is considered as a Lie group under addition, while \mathbb{R}^* is a Lie group under multiplication.)
— The image of \exp is the open subgroup \mathbb{R}^+ consisting of positive real numbers, and $\exp : \mathbb{R} \rightarrow \mathbb{R}^+$ is a Lie group isomorphism with inverse $\log : \mathbb{R}^+ \rightarrow \mathbb{R}$.
- (c) Similarly, $\exp : \mathbb{C} \rightarrow \mathbb{C}^*$ given by $\exp(z) = e^z$ is a Lie group homomorphism.
— It is surjective but not injective, because its kernel consists of the complex numbers of the form $2\pi ik$, where k is an integer.
- (d) The map $\varepsilon : \mathbb{R} \rightarrow \mathbb{S}^1$ defined by $\varepsilon(t) = e^{2\pi it}$ is a Lie group homomorphism whose kernel is the set \mathbb{Z} of integers.
— Similarly, the map $\varepsilon^n : \mathbb{R}^n \rightarrow \mathbb{T}^n$ defined by $\varepsilon^n(t_1, \dots, t_n) = (e^{2\pi it_1}, \dots, e^{2\pi it_n})$ is a Lie group homomorphism whose kernel is \mathbb{Z}^n .
- (e) The determinant function $\det : \text{GL}(n, \mathbb{R}) \rightarrow \mathbb{R}^*$ is smooth because $\det A$ is a polynomial in the matrix entries of A .
— It is a Lie group homomorphism because $\det(AB) = (\det A)(\det B)$.
— Similarly, $\det : \text{GL}(n, \mathbb{C}) \rightarrow \mathbb{C}^*$ is a Lie group homomorphism.
- (f) If G is any Lie group and $g \in G$, define $C_g : G \rightarrow G$ to be conjugation by g :

$$C_g(h) = ghg^{-1}.$$

Then C_g is smooth because group multiplication is smooth, and a simple computation shows that it is a group homomorphism.

Lie Group Actions

- The most important application of Lie groups involve actions by Lie groups on other manifolds.
- This typically arise in situations involving some kind of symmetry.
- For example, if M is a vector space or smooth manifold endowed with a certain geometric structure (such as an inner product, a norm, a metric, or a distinguished vector or covector field), the set of diffeomorphisms of M that preserves this structure (called the **symmetry group** of the structure) frequently turn out to be a Lie group acting smoothly on M .
- The properties of the group action can shed considerable light on the properties of the structure.

Group Actions

Definition. If G is group and M is a set, a **left action** of G on M is a map $G \times M \rightarrow M$, often written as $(g, p) \mapsto g \cdot p$ that satisfies

$$(9.1) \quad \begin{aligned} g_1 \cdot (g_2 \cdot p) &= (g_1 g_2) p, & \forall g_1, g_2 \in G \text{ and } p \in M; \\ e \cdot p &= p, & \forall p \in M. \end{aligned}$$

- A **right action** is defined analogously as a map $M \times G \rightarrow M$ with the appropriate composition law:

$$\begin{aligned} (p \cdot g_1) \cdot g_2 &= p \cdot (g_1 g_2), & \forall g_1, g_2 \in G \text{ and } p \in M; \\ p \cdot e &= p, & \forall p \in M. \end{aligned}$$

Definition. Suppose G is a Lie group and M is a manifold. An action of G on M is said to be **continuous** if the map $G \times M \rightarrow M$ or $M \times G \rightarrow M$ defining the action is continuous.

- It is useful to give a name to an action, such as $\theta : G \times M \rightarrow M$, with the action of a group element g on a point p usually written $\theta_g(p)$.
In terms of this notation, this condition (9.1) for a left action read

$$(9.2) \quad \begin{aligned} \theta_{g_1} \circ \theta_{g_2} &= \theta_{g_1 g_2}, \\ \theta_e &= \text{Id}_M, \end{aligned}$$

while for a right action the first equation is replaced by

$$\theta_{g_2} \circ \theta_{g_1} = \theta_{g_1 g_2}.$$

- For a continuous action, each map $\theta_g : M \rightarrow M$ is a homeomorphism, because $\theta_{g^{-1}}$ is a continuous inverse for it.
If the action is smooth, then each θ_g is a diffeomorphism.

Definition. Define a **global flow** on M (sometimes also called a **one-parameter group action**) to be a left action of \mathbb{R} on M ; that is, a continuous map $\theta : \mathbb{R} \times M \rightarrow M$ satisfying the following properties $\forall s, t \in \mathbb{R}$ and $\forall p \in M$:

$$\theta(t, \theta(s, p)) = \theta(t + s, p), \quad \theta(0, p) = p.$$

Example. Let V be a smooth vector field on a smooth manifold M with the property that $\forall p \in M$ there is a unique integral curve $\theta^{(p)} : \mathbb{R} \rightarrow M$ starting at p .

- $\forall t \in \mathbb{R}$, we can define a map θ_t from M to itself by sending each point $p \in M$ to the point obtained by following the integral curve starting at p for time t :

$$\theta_t(p) = \theta^{(p)}(t).$$

This defines a family of maps $\theta_t : M \rightarrow M$ for $t \in \mathbb{R}$.

- Each such map “slides” the entire manifold along the integral curves for time t , and it satisfies

$$\theta_t \circ \theta_s(p) = \theta_{t+s}(p).$$

- Together with the equation $\theta_0(p) = \theta^{(p)}(0) = p$, which holds by definition, this implies that the map $\theta : \mathbb{R} \times M \rightarrow M$ is an action of the additive group \mathbb{R} on M .

Definition. Suppose $\theta : G \times M \rightarrow M$ is a left action of a group G on a set M .
(The definitions for right actions are analogous.)

- For any $p \in M$, the **orbit** of p under the action is the set

$$G \cdot p = \{g \cdot p : g \in G\},$$

the set of all images of p under the action of elements of G .

- The action is **transitive** if for any two points $p, q \in M$, there is a group element g such that $g \cdot p = q$, or equivalently if the orbit of any point is all of M .
- Given $p \in M$, the **isotropy group** of p , denoted by G_p , is the set of elements $g \in G$ that fixes p :

$$G_p = \{g \in G : g \cdot p = p\}$$

- The action is said to be **free** if the only element of G that fixes any point of M is the identity:

$$g \cdot p = p \text{ for some } p \in M. \Rightarrow g = e.$$

This is equivalent to the requirement that $G_p = \{e\}$ for every $p \in M$.

Examples (Lie Group Actions).

- (a) If G is any Lie group and M is any smooth manifold, the **trivial action** of G on M is defined by

$$g \cdot p = p, \quad \forall g \in G.$$

It is easy to see that it is a smooth action, and the isotropy group of each point is all of G .

- (b) The naturality action of $\text{GL}(n, \mathbb{R})$ on \mathbb{R}^n is left action given by matrix multiplication:

$$(A, x) \mapsto Ax,$$

considering $x \in \mathbb{R}^n$ as a column matrix.

- This is an action because $I_n x = x$ and matrix multiplication is associative: $(AB)x = A(Bx)$.
 - It is smooth because the components of Ax depend polynomially on the matrix entries of A and the components of x .
 - Because any nonzero vector can be taken to be any other by some linear transformation, there are **exactly two orbits**: $\{0\}$ and $\mathbb{R}^n \setminus \{0\}$.
- (c) The restriction of the natural action to $O(n) \times \mathbb{R}^n \rightarrow \mathbb{R}^n$ defines a smooth left action of $O(n)$ on \mathbb{R}^n .
- In this case, the orbits are the origin and **the spheres centered at the origin**.
- (d) Further restricting the natural action $O(n) \times \mathbb{S}^{n-1} \rightarrow \mathbb{S}^{n-1}$, we obtain a transitive action of $O(n)$ on \mathbb{S}^{n-1} .
- (e) The natural action of $O(n)$ restricts to a smooth action of $SO(n)$ on \mathbb{S}^{n-1} .
- When $n = 1$, the action is trivial because $SO(1)$ is the trivial group consisting of the matrix (1) .
 - When $n > 1$, $SO(n)$ acts transitively on \mathbb{S}^{n-1} .

(f) Any Lie group G acts smoothly, freely, and transitively on itself by left or right translation.

— More generally, if H is Lie subgroup of G , then the restriction of the multiplication map to $H \times G \rightarrow G$ defines a smooth, free (but generally, not transitive) left action of H on G .

— Similarly, restriction to $G \times H \rightarrow G$ defines a free right action of H on G .

(g) Similarly, any Lie group acts smoothly on itself by **conjugation**:

$$g \cdot h = ghg^{-1}.$$

For any $h \in G$, the isotropy group G_h is the set of all elements of G that commutes with h .

(h) An action of a discrete group Γ on a manifold M is smooth iff for each $g \in \Gamma$, the map $p \mapsto g \cdot p$ is a smooth map from M to itself.

— Thus, for example, \mathbb{Z}^n acts smoothly on the left on \mathbb{R}^n by translation:

$$(m^1, \dots, m^n) \cdot (x^1, \dots, x^n) = (m^1 + x^1, \dots, m^n + x^n).$$