

### Bochner-Weitzenböck Formula for Functions

(I) If  $f : M \rightarrow \mathbb{R}$  is smooth, denote  $\nabla f = \text{grad } f$ , we have

$$g(\nabla f, X) = df(X).$$

The  $(1, 1)$ -**Hessian**  $\nabla^2 f$  is defined as the  $(1, 1)$ -tensor  $D(\nabla f)$ . This tensor is self-adjoint, or symmetric, since

$$\begin{aligned} g(\nabla^2 f(X), Y) &= \langle D_X \nabla f, Y \rangle \\ &= D_X \langle \nabla f, Y \rangle - \langle \nabla X, D_X Y \rangle \\ &= D_X df(Y) - df(D_X Y) \\ &= X(Y(f)) - df(D_X Y) \\ &= X(Y(f)) - df(D_Y X) - df([X, Y]) \\ &= X(Y(f)) - X(Y(f)) + Y(X(f)) - df(D_Y X) \\ &= g(\nabla^2 f(Y), X). \end{aligned}$$

Thus,  $\nabla^2 f$  can also be interpreted as the symmetric  $(0, 2)$ -tensor

$$\nabla^2 f(X, Y) = g(\nabla^2 f(X), Y).$$

- The Laplacian

$$\Delta f = -\text{tr}(\nabla^2 f).$$

- ⊙ The divergence of s vector field  $X$  on  $(M, g)$  is defined as

$$\text{div } X = -\text{tr}(DX) = -\sum_{i=1}^n g(D_{e_i} e_i, e_i),$$

if  $\{e_i\}$  is an orthonormal basis. Thus, also

$$\Delta f = \text{tr}(\nabla^2 f) = \text{tr}(D(\nabla f)) = \text{div}(\nabla f).$$

(II) If  $S$  is a  $(1, 1)$  tensor we want to have

$$D_X(S(Y)) = (D_X S)(Y) + S(D_X Y).$$

Thus it is reasonable to define  $DS$  as

$$\begin{aligned} DS(X, Y) &= (D_X S)(Y) \\ X(S(Y)) - S(D_X Y). \end{aligned}$$

More generally, we define

$$\begin{aligned} DS(X, Y_1, \dots, Y_r) &= (D_X S)(Y_1, \dots, Y_r) \\ &= D_X(S(Y_1, \dots, Y_r)) - \sum_{i=1}^r S(Y_1, \dots, D_X Y_i, \dots, Y_r), \end{aligned}$$

where  $D_X$  is interpreted as the directional derivative when applied to a function.

- For a  $(\cdot, r)$ -tensor field  $S$  we can now also define the **second covariant derivative**  $D^2 S$  as the  $(\cdot, r+2)$ -tensor field

$$\begin{aligned} (D_{X_1, X_2}^2 S)(X, Y_1, \dots, Y_r) &= (D_{X_1}(DS))(X_2, Y_1, \dots, Y_r) \\ &= (D_{X_1} D_{X_2} S)(Y_1, \dots, Y_r) - (D_{D_{X_1} X_2} S)(Y_1, \dots, Y_r), \end{aligned}$$

With this we obtain for  $f \in C^\infty(M)$ ,

$$\begin{aligned} D_{X, Y}^2 f &= D_X D_Y f - D_{D_X Y} f \\ &= D_X g(Y, \nabla f) - g(D_X Y, \nabla f) \\ &= g(Y, D_X \nabla f) \\ &= g(\nabla^2 f(X), Y). \end{aligned}$$

From this new formula for the Hessian, we see that the Laplacian can be written as

$$\Delta f = - \sum_{i=1}^n D_{e_i, e_i}^2 f.$$

For a smooth function  $f$ , we define its gradient, Hessian, and the Laplacian by

$$\begin{aligned}\langle \nabla f, X \rangle &= X(f) = df(X), \\ \text{Hess } f(X, Y) &= \langle D_X(\nabla f), Y \rangle, \\ \Delta f &= -\text{tr}(\text{Hess } f).\end{aligned}$$

For a bilinear form  $A$ , we write  $|A|^2 = \text{tr}(AA^t)$ .

**Theorem (the Bochner-Weitzenböck formula).** *Let  $(M, g)$  be a complete Riemannian manifold. Then,  $\forall f \in C^\infty(M)$ , we have*

$$-\frac{1}{2}\Delta|\nabla f|^2 = |\text{Hess } f|^2 - \langle \nabla f, \nabla(\Delta f) \rangle + \text{Ric}(\nabla f, \nabla f).$$

*Proof.* Fix a point  $p \in M$ . Let  $\{X_i\}_1^n$  be a local orthonormal frame field such that

$$\langle X_i, X_j \rangle = \delta_{ij}, \quad D_{X_i}X_j(p) = 0.$$

Computation at  $p$  gives

$$\begin{aligned}-\frac{1}{2}\Delta|\nabla f|^2 &= \frac{1}{2} \sum_i X_i X_i \langle \nabla f, \nabla f \rangle \\ &= \sum_i X_i \langle D_{X_i} \nabla f, \nabla f \rangle \\ &= \sum_i X_i \text{Hess } f(X_i, \nabla f) \\ &= \sum_i X_i \text{Hess } f(\nabla f, X_i) \quad (\because \text{Hessian is symmetric}), \\ &= \sum_i X_i \langle D_{\nabla f}(\nabla f), X_i \rangle \\ &= \sum_i \langle D_{X_i} D_{\nabla f}(\nabla f), X_i \rangle + \langle D_{\nabla f}(\nabla f), D_{X_i} X_i \rangle \\ &= \sum_i \langle D_{X_i} D_{\nabla f}(\nabla f), X_i \rangle \quad (\because \text{the other term vanishes at } p) \\ &= \sum_i \langle R(\nabla f, X_i) \nabla f, X_i \rangle + \sum_i \langle D_{\nabla f} D_{X_i} \nabla f, X_i \rangle + \sum_i \langle D_{[X_i, \nabla f]} \nabla f, X_i \rangle.\end{aligned}$$

The first term is by definition  $\text{Ric}(\nabla f, \nabla f)$ .

The second term is

$$\begin{aligned}&\sum_i \langle D_{\nabla f} D_{X_i} \nabla f, X_i \rangle \\ &= \sum_i (\nabla f) \langle D_{X_i} \nabla f, X_i \rangle - \sum_i \langle D_{X_i} \nabla f, D_{\nabla f} X_i \rangle \\ &= (\nabla f) \sum_i \langle D_{X_i} \nabla f, X_i \rangle - 0 \quad (\text{at } p) \\ &= -(\nabla f) \Delta f \\ &= -\langle \nabla f, \nabla(\Delta f) \rangle.\end{aligned}$$

The third term is

$$\begin{aligned}
& \sum_i \langle D_{[X_i, \nabla f]} \nabla f, X_i \rangle \\
&= \sum_i \text{Hess}(f)([X_i, \nabla f], X_i) \\
&= \sum_i \text{Hess}(f)(D_{X_i} \nabla f - D_{\nabla f} X_i, X_i) \\
&= \sum_i \text{Hess}(f)(D_{X_i} \nabla f, X_i) - \sum_i \text{Hess}(f)(D_{\nabla f} X_i, X_i) \\
&= \sum_i \text{Hess}(f)(D_{X_i} \nabla f, X_i) - 0 \quad (\text{at } p) \\
&= \sum_i \text{Hess}(f)(D_{X_i} \nabla f, X_i) \\
&= \langle D_{X_i} \nabla f, D_{X_i} \nabla f \rangle \\
&= |\text{Hess}(f)|^2. \quad \square
\end{aligned}$$

**Proposition 4.15 (the Bochner-Weitzenböck formula).** *Let  $(M, g)$  be a complete Riemannian manifold. Then,  $\forall f \in C^\infty(M)$ , we have*

$$\langle \Delta(df), df \rangle = \frac{1}{2} \Delta |df|^2 + |Ddf|^2 + \text{Ric}(\nabla f, \nabla f).$$

- The power of this formula is that we have the freedom to choose the function  $f$ . Most of the results of comparison geometry are obtained by choosing  $f$  to be the distance function, the eigenfunction, and the displacement function, among others.
- We will consider the distance function.