

• Potential Applications:

1)  $SL(2; \mathbb{C})$  Floer homology

- Chain complex: generated over  $\mathbb{D}$  by critical pts of  $\int |O\omega|^2$  on  $SL(2; \mathbb{C})$  flat nonconnection moduli space  $\{A : F_A - \partial\bar{\partial} A = 0, d_A \omega = 0, d_A^* \omega = 0\}$

- Differential: Counts solutions on  $\mathbb{R} \times M$  ( $d=4$ ) to

$$\frac{\partial A}{\partial t} + * (F_A - \partial\bar{\partial} A) = 0$$

$$\frac{\partial \omega}{\partial t} + * d_A \omega = 0 \quad (\text{note: } d_A^* \omega \text{ pres. by flow})$$

- Solutions must limit as

$$t \rightarrow \pm\infty \text{ to Flat } SL(2; \mathbb{C})$$

connection

- To have well defined count, must have finite # solutions limiting  $t \rightarrow -\infty$  to a given flat connection.

- Prove this: Assume  $\{A_n\}_{n=1}^\infty$  is sequence of sol. and analyze limit.

2.

- Why  $SL(2; \mathbb{C})$ ?  $SL(2; \mathbb{C})$  flat connections seem to play a larger role in classical 3-d top. Then do  $SO(2)$ . Perhaps Floer hom. gives more info. also.

- 2) • Related equations 3 & 4 dim equations proposed by Witten to give Khovanov homology of knots & links.

on  $(\mathbb{R}^n) \times M$

$\alpha$	$A + \alpha \star (F - \Omega \wedge \alpha) - \beta \star d_A \alpha = 0$
$\Omega$	$\partial\bar{\partial} - \alpha \star d_A \alpha - \beta \star (F - \Omega \wedge \alpha) = 0$
or $IR \times M$	$d_A \star \alpha = 0$
limit $t \rightarrow \infty$	$\alpha^2 + \beta^2 = 1$
Flat	$\Rightarrow$ related to symplectic/hyperkähler structure on space of $SL(2; \mathbb{C})$ connections.

- Note:  $d_A \star \alpha$  preserved by flow.

- These are gradient flow equations for "slice" of Chern-Simons

- $CS = \int_M \text{tr}(IA \wedge F_A - \frac{1}{3} IA \wedge IA \wedge IA)$

- $f = \text{Re}((\alpha + i\beta) CS) \quad (A, \dot{A}) = -\nabla f.$

$$\mathcal{Q} = \int_M \text{tr}(A \wedge F_A - \frac{i}{3} A \wedge A \wedge A - \Omega \wedge d\Omega$$

+  $\Omega \wedge \Omega \wedge A)$

$$+ i \int_M \text{tr}(\Omega \wedge F_A + A \wedge d\Omega$$

$- \frac{i}{3} \Omega \wedge A \wedge A + \Omega \wedge \Omega \wedge \Omega)$

Related 4-d equations

1) Counting solutions algebraically  
may give interesting "Donaldson"  
invariants  $A^* = \Lambda^+ \oplus \Lambda^-$

$$(\bar{F}_A - \Omega \wedge \Omega)^+ = 0 \quad (\bar{d}_A \Omega)^- = 0$$

$$\bar{d}_A^* \Omega = 0$$

$$\alpha (\bar{F}_A - \Omega \wedge \Omega)^+ - \beta (\bar{d}_A \Omega)^+ = 0$$

$$\alpha (\bar{d}_A \Omega)^- + \beta (\bar{F}_A - \Omega \wedge \Omega)^- = 0$$

$$\bar{d}_A^* \Omega = 0$$

"Counting" requires finite # solutions

2) Equations (Vafa-Witten) for  
 $SU(2)$  connection &  $SU(2)$ -valued,  
section of  $N^+$

$$(\bar{F}_A = W \# W)^+ = 0$$

$$\bar{d}_A W = 0$$

3) Generalized Seiberg-Witten equations. (Very analogous analysis should be applicable)

- $*F_A = \psi^\dagger C \psi$  dual to Cliff mult.

$$D_A \psi = 0$$

↑ Dirac eqn.  $\psi = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$

$$\begin{aligned} i\nabla_3 \alpha + i(\nabla_1 - i\nabla_2) \beta &= 0 \\ -i\nabla_3 \beta + i(\nabla_1 + i\nabla_2) \alpha &= 0 \end{aligned}$$

$$(*F)_3 = i(|\alpha|^2 - |\beta|^2)$$

$$(*F)_1 = i(\bar{\alpha}\bar{\beta} + \bar{\beta}\alpha)$$

$$(*F)_2 = (\bar{\alpha}\bar{\beta} - \bar{\beta}\alpha) \quad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

- Solution space is compact modulo  $C^0(\mathbb{R}^4, V_0)$
- Case of 2-spinors:

$$D_A \psi_1 = 0, D_A \psi_2 = 0$$

$$F_A = \psi_1^\dagger C \psi_1 + \psi_2^\dagger C \psi_2$$

Sol. space compact if

$$\int (|\psi_1|^2 + |\psi_2|^2) \cdot d^4x < \infty.$$

- Case of more spinors &/or more connections.

- 4d Analogs to anomalies of SW-invariants

## Input to the proof

1) Uhlenbeck's theorem: (local version)

$U \subset M = \text{open set}$

$$\left\{ \int |F_{n_k}|^2 \right\}_{k=1}^{\infty} < E < \infty$$

$\Rightarrow \exists$  subsequence plus

$\{h_n : U \rightarrow S^1(2)\}$  s.t.

$$\left\{ h_n A_n h_n^{-1} + h_n d h_n^{-1} \right\}_{n=1}^{\infty}$$

converges weakly in  $L^2_{\text{loc}}(U)$

2) Weitzenböck formula:

$$\int_M (|D_{\partial} \omega|^2 + |\partial \times \omega|^2) =$$

$$\text{a)} \quad \int_M (|\nabla \omega|^2 + 2 \langle F_A \times \omega, \omega \rangle + \text{Ric}(\omega, \omega))$$

• Gives proof of case 1. since

$$\text{b)} \quad \int_M |F_A - \omega \wedge \omega|^2 = \int_M (|F_A|^2 - 2 \langle F_A \wedge \omega, \omega \rangle + |\omega \wedge \omega|^2)$$

$$\text{a)} + \text{b)} : \int_M |\nabla \omega|^2 + |F_A|^2 + |\omega \wedge \omega|^2 + \text{Ric}(\omega, \omega) < \infty$$

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Thus  $\int |\Omega|^2 < \infty$

$$\Rightarrow \int |\nabla_A|^2 \leq |\text{Ric}_0| \cdot R^2 + E$$

$\Rightarrow A$  converges in  $L^2$ , wh.

$$\int |\nabla \Omega|^2 \leq |\text{Ric}_0| \cdot R^2 + E$$

$\Rightarrow \Omega$  conv. in  $L^2$ , wh.

b) In Case 2:  $\left\{ \int |\Omega_n|^2 \right\}$  diverges,

leads formally to conclusion  
that

$$a_n = \frac{1}{\sqrt{\lambda_n}} \Omega_n \text{ converges to } v \neq 0$$

$$\int_M \lambda_n^2 |\Omega_n \wedge a_n|^2 \leq C < \infty$$

$$\Rightarrow \int_M |\lambda_n a_n|^2 \leq \frac{C}{\lambda_n^2} \rightarrow 0$$

$$\int_M (|\partial_A a_n|^2 + |\partial_A * a_n|^2) \leq \frac{C}{\lambda_n^2} \rightarrow 0$$

$$a_n \rightsquigarrow \hat{a} \quad \hat{a} \wedge \hat{a} = 0$$

$$\Rightarrow \hat{a} = v \neq 0 \quad |\Omega| = 1$$

$$\begin{cases} \partial_A \hat{a} = 0 \\ \partial_A * \hat{a} = 0 \end{cases} \Rightarrow \begin{cases} \partial V = 0 \\ \partial * V = 0 \end{cases} \Rightarrow \partial_A^2 V = 0.$$

3.) In case 2:  $\left\{ \int (a_n)^2 \right\} \rightarrow \infty$

Weierstrass formula:

$$\bullet \Rightarrow (1) \int_{A_n} |17a_n|^2 + r^2|a_n|^2 \leq c_0$$

$$(2) \frac{1}{r^2} \int_{A_n} |F_{A_n} - r^2 a_n|^2 \leq c_0$$

$$\Rightarrow a_n \rightarrow m \text{ in } L^p$$

$|a_n| \rightarrow m \text{ in } L^2$ , weakly

$$a_n a_n \rightarrow 0 \text{ in } L^2$$

$\bullet$  Can I use heat eq to perurb  $\sigma_k$  by  $O(\sqrt{\epsilon})$  in  $L^2$

to  $\hat{\sigma}_k$  with

$$\frac{1}{\sqrt{n}} \hat{\sigma}_n = \hat{a}_k \text{ such that}$$

$$\sup |\hat{a}_n| \leq c_0$$

$$\bullet \Rightarrow \lim \hat{a}_n \in L^\infty$$

$\bullet$  define ptwise by

$$f = |\hat{a}_n|(x) = \limsup_{k \rightarrow \infty} |\hat{a}_n|(x)$$

#### 4) Almgren's frequency function:

Used to study critical set  
of harmonic functions,  
nodes of eigenvalues of Laplacian

$$-\Delta f = \lambda f \quad f^{-1}(0) \text{ is singular?}$$

Study

$$N_p(r) = r \int_{B_r} |\nabla f|^2$$

$$\boxed{\frac{d}{dr} \left( \frac{1}{r} \frac{d}{dr} \int_{B_r} f^2 \right) = \frac{2N}{r} - \left( \frac{1}{r^2} \frac{d}{dr} \int_{B_r} f^2 \right) + ?}$$

$\Rightarrow$  Introduced by Almgren  
 $\Rightarrow$  explored by others  
(Wan-Hardt-Lin)

$\Rightarrow$  in Euclidean space,  
 $N$  is increasing funct.  
of  $r$

$\Rightarrow$  in  $M$ ,  $N$  is "essentially  
increasing"

$$\frac{dN}{dr} \geq -C_0 r^2.$$

example:  $f(x) \sim x^p$  for  $x \neq 0$   
 $N \sim p$  as  $r \rightarrow 0$

$$\left( \frac{\int_{B_r} f^2}{\int_{B_r}} \right) (r) \sim \left( \frac{r}{r_0} \right)^p \left( \frac{\int_{B_r} f^2}{\int_{B_{r_0}}} \right)$$

## 4) Almgren's frequency function

$$p \in M, r \in [0, \infty)$$

Non Abelian version:

$$\circ N_p(r) = r \frac{\int_{B_r} (|\nabla_\alpha a|^2 + \omega^2 |a \omega|^2)}{\int_{\partial B_r} |\omega|^2}$$

Thm:  $N_p(r)$  is essentially increasing

used to prove  $\mathcal{Z} = f^{-1}(0)$

$\mathcal{Z}$  closed set  
&  $f$  is continuous

$\circ$  Version for  $\lambda$

$$N_p(r) = r \frac{\int_{B_r} |\nabla_\alpha \lambda|^2}{\int_{\partial B_r} W^2}$$

also essentially increasing

used to prove structure

thm for  $f^{-1}(0)$ .

(Wass. dim, Lipschitz curves)